



Division of Adult Institutions

Counting Rules for Governance Period January - June 2017

Total Bed Capacity

Custody Operations

10	Contract Beds	<p>A Contract bed is defined by the DDPS variable: BedType with the code value = 'C'. This count is the sum of inmates who have been assigned to Contract beds on the last calendar day of the reporting month and is a "point in time" value representing that month.</p> <p><i>DDPS / SOMS</i></p>
11	Design Beds	<p>A Design bed is defined by the DDPS variable: BedType with the code value = 'D'. This count is the sum of inmates who have been assigned to Design beds on the last calendar day of the reporting month and is a "point in time" value representing that month.</p> <p><i>DDPS / SOMS</i></p>
12	Overcrowding Beds	<p>An Overcrowding bed is defined by the DDPS variable: BedType with the code value = 'O'. This count is the sum of inmates who have been assigned to Overcrowding beds on the last calendar day of the reporting month and is a "point in time" value representing that month.</p> <p><i>DDPS / SOMS</i></p>
13	Temporary Beds (Family Visiting/Tank Beds)	<p>A Temporary bed is defined by the DDPS variable: BedType with the code value = 'T'. This count is the sum of inmates who have been assigned to Temporary beds on the last calendar day of the reporting month and is a "point in time" value representing that month.</p> <p><i>DDPS / SOMS</i></p>
14	Medical Beds	<p>A Medical bed is defined by the DDPS variable: BedType with the code value = 'X'. This count is the sum of inmates who have been assigned to Medical beds on the last calendar day of the reporting month and is a "point in time" value representing that month.</p> <p><i>DDPS / SOMS</i></p>
15	Inmate Count	<p>This is the total number of inmates at this institution on the last calendar day of the reporting month and is a "point in time" value representing that month. [Budget Display Act requirement performance measure]</p> <p><i>DDPS / SOMS</i></p>
Inmate Custody Level		
17	Level I	<p>A Security Level I bed is defined by the SOMS variables: BedSecurityLevel with code value = "I". This is a sum of inmates who have been assigned, (SOMS variable: BedStatus = "F" or "H", F means Filled & H means Hold), to Security Level I beds on the last calendar day of the reporting month and is a "point in time" value representing that month.</p> <p><i>SOMS</i></p>



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Inmate Custody Level

Custody Operations

19	Out of Level I Endorsed and Awaiting (Pending) Transfer	Enter the number of Out of Level I Inmates that are currently endorsed to go to another institution, but are pending transfer as of the end of the month. This information can be provided by the institution's Classification and Parole Representative, and is tracked through the Institutional Automated Transfer System. <i>Institution Reported</i>
21	Level II	A Security Level II bed is defined by the SOMS variables: BedSecurityLevel with code value = "II". This is a sum of inmates who have been assigned, (SOMS variable: BedStatus = "F" or "H", F means Filled & H means Hold), to Security Level II beds on the last calendar day of the reporting month and is a "point in time" value representing that month. <i>SOMS</i>
23	Out of Level II Endorsed and Awaiting (Pending) Transfer	Enter the number of Out of Level II Inmates that are currently endorsed to go to another institution, but are pending transfer as of the end of the month. This information can be provided by the institution's Classification and Parole Representative, and is tracked through the Institutional Automated Transfer System. <i>Institution Reported</i>
25	Level III	A Security Level III bed is defined by the SOMS variables: BedSecurityLevel with code value = "III". This is a sum of inmates who have been assigned, (SOMS variable: BedStatus = "F" or "H", F means Filled & H means Hold), to Security Level III beds on the last calendar day of the reporting month and is a "point in time" value representing that month. <i>SOMS</i>
27	Out of Level III Endorsed and Awaiting (Pending) Transfer	Enter the number of Out of Level III Inmates that are currently endorsed to go to another institution, but are pending transfer as of the end of the month. This information can be provided by the institution's Classification and Parole Representative, and is tracked through the Institutional Automated Transfer System. <i>Institution Reported</i>
29	Level IV	A Security Level IV bed is defined by the SOMS variables: BedSecurityLevel with code value = "IV". This is a sum of inmates who have been assigned, (SOMS variable: BedStatus = "F" or "H", F means Filled & H means Hold), to Security Level IV beds on the last calendar day of the reporting month and is a "point in time" value representing that month. <i>SOMS</i>
31	Out of Level IV Endorsed and Awaiting (Pending) Transfer	Enter the number of Out of Level IV Inmates that are currently endorsed to go to another institution, but are pending transfer as of the end of the month. This information can be provided by the institution's Classification and Parole Representative, and is tracked through the Institutional Automated Transfer System. <i>Institution Reported</i>



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Inmate Custody Level

Custody Operations

33 Camps Inmates assigned from this institution to a CAMP.
This count is received on the last day of the calendar month.
DDPS / SOMS

General Population Summary

35 Actual Population General Population is defined by the SOMS variable: SOMS Bed Program Assignment (BPS), which replaces DDPS variable: Housing Program Assignment (HPAS), with following programs assignments: Behavior Modification Unit (BMU); Camp (CMP); Enhanced Outpatient (EOP); General Population (GP); HIV Housing (HIV); Institution Hearing Program (IHP); Substance Abuse Program (SAP); Sensitive Need Yard EOP (SEO); Sensitive Needs Yard (SNY); Sensitive Needs Yard Out-Patient Housing Unit (SOH); Transitional Housing Unit (THU); Work Crew (WC).
This is a sum of inmates whose SOMS BPS code value is BMU, CMP, EOP, GP, HIV, IHP, SAP, SEO, SNS, SNY, SOH, THU, or WC on the last calendar day of the reporting month.
DDPS / SOMS

General Population

37 Actual Population The Actual GP count is the sum of the inmates whose SOMS variable: Bed Program Assignment has code value: 'GP', 'CAMP', or 'WC'.
DDPS / SOMS

Enhanced Outpatient Program (GP Housing Program)

39 Actual Population The actual population of diagnosed EOP placement in need is the sum of inmates who have been assigned to designate EOP beds identified by their SOMS variable: Bed Program Assignment (BPA) with Code = 'EOP'; plus the inmates whose Mental Health Code='C*' but haven't been assigned to designate EOP beds on the last calendar day of the reporting month. This count excludes inmates who have been assigned to ASU or SNY or PSU.
DDPS / SOMS

Sensitive Needs Yard

41 Actual Population This is the sum of inmates whose SOMS variable: Bed Program Assignment code value is 'SEO', 'SNS', 'SNY', or 'SOH' on the last calendar day of the reporting month.
DDPS / SOMS

Minimum Support Facility

43 Actual Population This is the sum of inmates who have been assigned to designated MSF beds identified by the SOMS variable: 'CellBed' with the first character = 'M'.
DDPS / SOMS

Life Sentenced Inmates

45 Life (With Possibility of Parole) The total number of Life Sentence With the Possibility of Parole inmates at each facility. This is the count of Offender Information Services Branch (OISB) InstPop.dbf as of the last week of the monthly reporting period. The data is retrieved from OISB's InstPop.dbf and the variable is Live Type and the value -> 'LIF'.
OISB



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Life Sentenced Inmates

Custody Operations

46 **Life (Without Possibility of Parole) (LWOP)** The total number of Life Sentence Without the Possibility of Parole (LWOP) inmates at each facility. This is the count of Offender Information Services Branch (OISB) InstPop.dbf as of the last week of the monthly reporting period. The data is retrieved from OISB's InstPop.dbf and the variable is Live Type and the value -> 'LOW'.
OISB

Reception Center

48 **Actual Population** This is the sum of inmates whose SOMS variable: Bed Program Assignment code value = 'RC' on the last calendar day of the reporting month.
DDPS / SOMS

Administrative Segregation Housing (ASU)

50 **Actual Population (Excluding Overflow)** This count is the sum of inmates who have been assigned to designated 'ASU' beds identified by the SOMS variable: Bed Program Assignment with code value = 'ASU' on the last calendar day of the reporting month.
DDPS / SOMS

51 **Actual Population (With Overflow)** This is the sum of inmates whose SOMS variable: Bed Program Assignment code value = 'ASU' on the last calendar day of the reporting month, and any overflow the institution may have reported for the same reporting period as follows: 'Actual ASU Population' + 'ASU Overflow Actual Population' = 'Actual Population (With Overflow)'.
Formula

52 **Average Length of Stay** The average length of stay should include all inmates housed in Administrative Segregation, Ad Seg (Overflow), and Ad Seg (Hub). This average should be determined by counting the total number of inmates housed in any of the Ad Seg areas and then determining the total length of stay (number of days) those inmates have remained in Ad Seg. Divide the total number of days by the total number of inmates to determine this value.
[Budget Display Act requirement performance measure]
Institution Reported

53 **Stays Exceeding 200 days** This is the total number of Administrative Segregation Unit (ASU) inmates assigned to ASU whose stay has exceeded 200 days, but equal to or less than 400 days. This information is obtained from the ASU database/log maintained by designated ASU staff. Counting Rule: This is the midnight count as of the last day of the monthly reporting period.
Institution Reported

54 **Stays Exceeding 400 days** This is the total number of Administrative Segregation Unit (ASU) inmates assigned to ASU whose stay has exceeded 400 days, but is equal to or less than 800 days. This information is obtained from the ASU database/log maintained by designated ASU staff. Counting Rule: This is the midnight count as of the last day of the monthly reporting period.
Institution Reported



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Administrative Segregation Housing (ASU)

Custody Operations

55	Stays Exceeding 800 days	<p>This is the total number of Administrative Segregation Unit (ASU) inmates assigned to ASU whose stay has exceeded 800 days. This information is obtained from the ASU database/log maintained by designated ASU staff. Counting Rule: This is the midnight count as of the last day of the monthly reporting period.</p> <p><i>Institution Reported</i></p>
56	Total ASU Endorsed Inmates	<p>This is the total of SNY Endorsed + SHU Endorsed + SNY GP Endorsed + PSU Endorsed = Total ASU Endorsed Inmates. This is the midnight count as of the last day of the monthly reporting period.</p> <p><i>Formula</i></p>
57	ASU Inmates Endorsed for GP	<p>The number of GP endorsed inmates housed in ASU pending transfer to an appropriate facility. This information is obtained from the ASU database/log maintained by designated ASU staff.</p> <p><i>Institution Reported</i></p>
58	ASU Inmates Endorsed for SNY	<p>(Formerly - Administrative Segregation Pending Transfer) The number of SNY or SHU endorsed inmates housed in Ad Seg pending transfer to an SNY/SHU appropriate facility. Counting Rule: This is the midnight count as of the last day of the monthly reporting</p> <p><i>Institution Reported</i></p>
59	ASU Inmates Endorsed for SHU	<p>This is the total number of Security Housing Unit inmates housed in ASU pending transfer to an appropriate SHU facility. This information is obtained from the ASU database/log maintained by designated ASU staff. This is the midnight count as of the last day of the monthly reporting period.</p> <p><i>Institution Reported</i></p>
60	ASU Inmates Endorsed for PSU	<p>This is the total number of Psychiatric Services Unit inmates housed in ASU pending transfer to an appropriate PSU Facility. This information is obtained from the ASU database/log maintained by designated ASU staff. This is the midnight count as of the last day of the monthly reporting period.</p> <p><i>Institution Reported</i></p>
ASU Overflow		
62	Actual Population	<p>The ASU Overflow Actual Population is collected by the Classification Services Unit and distributed on a 'Weekly Population Report' within that report, located on the 'ASU' tab in the 'ASU Overflow' column. This total is received on the last day of each reporting period.</p> <p><i>CSU Weekly Population Report</i></p>
ASU EOP Hub		
64	Actual Population	<p>The number of ASU Hub inmates at each facility. Only populate these cells if you have an actual ASU. As of July 2009 DAI has identified the following as Hub institutions: SAC, MCSP, SVSP, CMC-E, COR, LAC, VSPW, CIW, CMF, and SQ. Counting Rule: This is the midnight count as of the last day of the monthly reporting period.</p> <p><i>Institution Reported</i></p>



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Behavior Management Unit

Custody Operations

66 Actual Population

The number of BMU inmates participating in a BMU program at each facility broken down by budgeted capacity and actual population. Counting Rule: This is the midnight count as of the last day of the monthly reporting period.

Note: Unless there is a Behavior Management Unit Budgeted Capacity value identified for this institution, enter zero for the reporting month.

Limitation: Institutions that are reception centers (though not budgeted for BMU) may have a very small number of BMU endorsed inmates awaiting transfer. These inmates (as a result of this note to the Counting Rule) would be excluded from being identified and counted. In any case they do not receive programming services.

Institution Reported

67 Average Length of Stay

The average length of stay for inmates housed in the Behavior Management Unit during the reporting period.

"Note: Unless there is a Behavior Management Unit Budgeted Capacity value identified for this institution, enter zero for the reporting month."

Limitation: Institutions that are reception centers (though not budgeted for BMU) may have a very small number of BMU endorsed inmates awaiting transfer. These inmates (as a result of this note to the Counting Rule) would be excluded from being identified and counted. In any case they do not receive programming services.

Institution Reported

68 Number of Completions

Enter the number of BMU program participants who completed the program for the month.

Institution Reported

Americans with Disabilities Act

70 Actual Population

Formula: Total number of impacting and non-impacting ADA inmates .

Sum of: "Impacting" + "Non-impacting" = Actual Population.

The information is gathered using 4 DECS Roster reports to include: 1 for Armstrong GP, 1 for Armstrong RC, 1 for Clark GP, and 1 for Clark RC. The totals are added together to represent the total number of ADA inmates. This is the midnight count as of the last day of the monthly reporting period, of the total number of ADA approved inmates at each facility. This includes both Armstrong and Clark class members.

Formula

71 Impacting

This is the midnight count as of the last day of the monthly reporting period. The information is gathered using 4 DECS Roster reports to include: 1 for Armstrong GP, 1 for Armstrong RC, 1 for Clark GP, and 1 for Clark RC. The totals are added together to represent the total number impacting ADA inmates. This is the number of DPx and DDx coded inmates.

Institution Reported

72 Non-impacting

This is the midnight count as of the last day of the monthly reporting period. The information is gathered from the total number of DECS roster report DNx coded inmates. The totals represent the total number non-impacting ADA inmates.

Institution Reported



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Correctional Clinical Case Management Services (CCCMS)

Custody Operations

74	Treatment Capacity	<p>The CCCMS Treatment Capacity comes from the last week of monthly CCCM reports produced by the Healthcare Population Oversight Unit. The # of Treatment Capacity is pulled from the column header 'Pop at 130%.'</p> <p><i>DCHCS</i></p>
75	Actual Population	<p>This is the sum of inmates who have been diagnosed requiring CCCMS, identified by the DDPS Mental Health Code value = 'B*' on the last calendar day of the reporting month.</p> <p><i>DDPS</i></p>
Total EOP Populations		
77	Actual Population	<p>Actual population for all Enhanced Outpatient Program inmates, including those housed in other housing programs such as SNY, ASU or RC. EOP - GP: Actual Population + EOP - SNY: Actual Population + EOP - ASU: Actual Population + EOP - RC: Actual Population. (**Note: PSU inmate populations are excluded.)</p> <p><i>Formula</i></p>
EOP GP (GP Housing Programs)		
79	Actual Population	<p>This count is the sum of inmates who have been assigned to designated EOP beds identified by SOMS variable: Bed Program Assignment = 'EOP'. Note that this count is exclusive of populations where the inmate does have an EOP placement in need but has primarily been assigned to other designate program bed, such as ASU / SNY, etc.</p> <p><i>DDPS / SOMS</i></p>
EOP (Other Housing Program)		
81	Actual Population	<p>Offenders with an EOP diagnosis housed in a housing program not specifically budgeted for EOP Offenders. EOP-diagnosed offenders in EOP-GP, EOP-SNY, or EOP-ASU are not counted. EOP offenders in housing programs not specifically identified and budgeted for EOP (typically either specialized programs such Psychiatric Services Unit (PSU), Department of Mental Health(DMH) or reflective of CDCR processing times: EOP in Reception Center beds) are counted. DDPS: Mental Health Code placement need of 'C' and Housing Program Assignment of ACU, CTC, DMH, DR, GAC, GP, HIV, ICF, MCB, OHU, PSU, RC, SAP, or SHU</p> <p><i>DDPS / SOMS</i></p>
EOP SNY		
83	Actual Population	<p>This count is the sum of inmates who have been assigned to designated SNY beds identified by SOMS variable: Bed Program Assignment = 'SNY' but their mental health code have value: 'C*' on the last calendar day of the reporting month.</p> <p><i>DDPS / SOMS</i></p>
EOP ASU		
85	Actual Population	<p>This count is the sum of inmates who have been assigned to designated ASU beds identified by SOMS variable: Bed Program Assignment = 'ASU' but their mental health code have value: 'C*' on the last calendar day of the reporting month.</p> <p><i>DDPS</i></p>
Psychiatric Services		
87	Actual Population	<p>This count is the sum of inmates who have been assigned to designated PSU beds identified by SOMS variable: Bed Program Assignment = 'PSU' on the last calendar day of the reporting month.</p> <p><i>DDPS</i></p>



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Psychiatric Services

Custody Operations

88 Average Length of Stay The average length of stay for inmates housed in the Psychiatric Services Unit during the reporting period. If there is no Psychiatric Services Unit budgeted for the institution, then no average length of stay should be reported.

Institution Reported

Security Housing Unit (SHU)

90 Actual Population This count is the sum of inmates who have been assigned to designated SHU beds identified by SOMS variable: Bed Program Assignment = 'SHU' on the last calendar day of the reporting month.

DDPS / SOMS

91 Inmates With Expired MERD's Count the number of expired MERD's inmates. These are inmates who have completed their SHU term and are awaiting an ASU bed. Acquire this number on the last day of the reporting month from the Daily Movement Sheet.

Institution Reported

92 Inmates With Expired MERD's Endorsed Count the number of expired MERD's endorsed inmates. These are inmates who have completed their SHU term and are awaiting transfer. Acquire this number on the last day of the reporting month from the Daily Movement Sheet.

Institution Reported

Single Cell Inmates

94 Single Cell Inmate Summary This is the sum of all Single Cell categories.

Formula

95 Summary With S-Suffix This is the sum of all Single Cell With S-Suffix categories.

Formula

96 Summary Without S-Suffix This is the sum of all Single Cell Without S-Suffix categories.

Formula

97 Total General Population (GP) Formula: Total number of GP inmates with and without an S-Suffix. Sum of: "With S-Suffix" + "Without S-Suffix" = Total Single Cell Inmates

Formula

98 GP With S-Suffix Enter the number of GP single cell housed inmates with an S-Suffix. This number will be the remaining inmates at the institution who are single celled and endorsed with S-Suffix and are not housed in ASU, PSU, and SHU.

Institution Reported

99 GP Without S-Suffix Enter the number of GP single cell housed inmates who do not have an S-Suffix and are not housed in ASU, PSU, SHU, DMH, ACU, ICF, MCB, OHU, CTC, and structurally designed single cells. These inmates are double cell cleared and are refusing to accept a qualified cellmate.

Institution Reported



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Single Cell Inmates

Custody Operations

100	Total Administrative Segregation Unit (ASU)	Formula: Total number of ASU inmates with and without an S-Suffix. Sum of: "ASU with S-Suffix" + "ASU without S-Suffix" + "ASU EOP with S-Suffix" + "ASU EOP without S-Suffix" = Total ASU Single Cell Inmates. <i>Formula</i>
101	ASU With S-Suffix	Enter the number of single cell housed inmates endorsed with an S-Suffix. Only include numbers for inmates housed in Administrative Segregation. <i>Institution Reported</i>
102	ASU Without S-Suffix	Enter the number of single cell housed inmates that do not have an S-Suffix. Only include numbers for inmates housed in Administrative Segregation. These inmates are double cell cleared and are refusing to accept a qualified cellmate. <i>Institution Reported</i>
103	ASU EOP with S-Suffix	Enter the number of Administrative Segregation Unit single cell housed inmates with an S-Suffix. Only include numbers for inmates designated as EOP. This is Institution Reported from the Daily Movement Sheet (DMS) <i>Institution Reported</i>
104	ASU EOP without S-Suffix	Enter the number of Administrative Segregation Unit single cell housed inmates that do not have an S-Suffix. Only include numbers for inmates designated as EOP. These inmates are double cell cleared and are refusing to accept a qualified cell mate. This is Institution Reported from the Daily Movement Sheet (DMS) <i>Institution Reported</i>
105	Total Psychiatric Services Unit (PSU)	Formula: Total number of PSU inmates with and without an S-Suffix. Sum of: "PSU With S-Suffix" + "PSU Without S-Suffix" = Total PSU Single Cell Inmates <i>Formula</i>
106	PSU With S-Suffix	Enter the number of single cell housed inmates endorsed with an S-Suffix. Only include numbers for inmates housed in the Psychiatric Services Unit. <i>Institution Reported</i>
107	Total Security Housing Unit (SHU)	Formula: Total number of SHU inmates with and without an S-Suffix. Sum of: "SHU With S-Suffix" + "SHU Without S-Suffix" = Total SHU Single Cell Inmates <i>Formula</i>
108	SHU With S-Suffix	Enter the number of single cell housed inmates endorsed with an S-Suffix. Only include numbers for inmates housed in the Security Housing Unit. <i>Institution Reported</i>



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Single Cell Inmates

Custody Operations

109 SHU Without S-Suffix Enter the number of single cell housed inmates that do not have an S-Suffix. Only include numbers for inmates housed in the Security Housing Unit. These inmates are double cell cleared and are refusing to accept a qualified cellmate.

Institution Reported

110 Total Enhanced Outpatient Program (EOP) Formula: Total number of EOP inmates with and without an S-Suffix. Sum of: "EOP With S-Suffix" + "EOP Without S-Suffix" = Total EOP Single Cell Inmates.

Formula

111 EOP With S-Suffix Enter the number of single cell housed inmates with an S-Suffix. Only include numbers for inmates designated as EOP.

Institution Reported

112 EOP Without S-Suffix Enter the number of single cell housed inmates that do not have an S-Suffix. Only include numbers for inmates designated as EOP. These inmates are double cell cleared and are refusing to accept a qualified cellmate.

Institution Reported

Inmate Disciplinary

114 Total Formula:
Total Inmate Disciplinary ÷ Inmate Count = Ratio per 100 Inmates

Metric deactivated, effective 3/1/2016.

Formula

115 Per 100 inmates The CDC-1154 Disciplinary Action Logs are used to collect this data, using the CCR Section # field. This data represents a count of all CDC-115's logged during the reporting month for 3005 offenses other than Assault or Battery on staff or inmates.

Data is collected from the first day of the reporting month to the last day of the reporting month, and totals are taken from the last day of the month.

Metric deactivated, effective 3/1/2016.

Formula

116 Conduct The CDC-1154 Disciplinary Action Logs are used to collect this data, using the CCR Section # field. This data represents a count of all CDC-115's logged during the reporting month for 3005 offenses other than Assault or Battery on staff or inmates.

Data is collected from the first day of the reporting month to the last day of the reporting month, and totals are taken from the last day of the month.

Metric deactivated, effective 3/1/2016.

Institution Reported



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Inmate Disciplinaries

Custody Operations

117	Assault On Staff	<p>The CDC-1154 Disciplinary Action Logs are used to collect this data, using the Specific Act field. This data represents a count of all CDC-115's logged during the reporting month for Assault on staff. Data is collected from the first day of the reporting month to the last day of the reporting month, and totals are taken from the last day of the month.</p> <p>Metric deactivated, effective 3/1/2016.</p> <p><i>Institution Reported</i></p>
118	Batteries on Staff	<p>The CDC-1154 Disciplinary Action Logs are used to collect this data, using the Specific Act field. This data represents a count of all CDC-115's logged during the reporting month for Battery on staff. Data is collected from the first day of the reporting month to the last day of the reporting month, and totals are taken from the last day of the month.</p> <p>Metric deactivated, effective 3/1/2016.</p> <p><i>Institution Reported</i></p>
119	Assault On Inmate	<p>The CDC-1154 Disciplinary Action Logs are used to collect this data, using the Specific Act field. This data represents a count of all CDC-115's logged during the reporting month for Assault on inmate. Data is collected from the first day of the reporting month to the last day of the reporting month, and totals are taken from the last day of the month.</p> <p>Metric deactivated, effective 3/1/2016.</p> <p><i>Institution Reported</i></p>
120	Batteries on Inmates	<p>The CDC-1154 Disciplinary Action Logs are used to collect this data, using the Specific Act field. This data represents a count of all CDC-115's logged during the reporting month for Battery on inmates. Data is collected from the first day of the reporting month to the last day of the reporting month, and totals are taken from the last day of the month.</p> <p>Metric deactivated, effective 3/1/2016.</p> <p><i>Institution Reported</i></p>
121	Stimulants and Sedatives	<p>The CDC-1154 Disciplinary Action Logs are used to collect this data, using the Specific Act field. This is any 115 that can be classified under 3016 or 3290 which specifically refers to drugs or alcohol.</p> <p>Data is collected from the first day of the reporting month to the last day of the reporting month, and totals are taken from the last day of the month.</p> <p>Metric deactivated, effective 3/1/2016.</p> <p><i>Institution Reported</i></p>
122	Indecent Exposure (IEX)	<p>The CDC-1154 Disciplinary Action Logs are used to collect this data, using the Specific Act field. This is any 115 that can be classified under CCR Section 3000 which specifically refers to indecent exposure incidents.</p> <p>Data is collected from the first day of the reporting month to the last day of the reporting month, and totals are taken from the last day of the month.</p> <p>Metric deactivated, effective 3/1/2016.</p> <p><i>Institution Reported</i></p>



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Inmate Disciplinaries

Custody Operations

123	IHP Refusals / Failure to Comply	<p>Enter the total number of refusal to comply with IHP 115's logged during the reporting period. This includes serious and administrative 115's.</p> <p>Metric deactivated, effective 3/1/2016.</p> <p><i>Institution Reported</i></p>
124	Possession of Cell Phone/s	<p>The CDC-1154 Disciplinary Action Logs are used to collect this data, using the Specific Act field. This data represents a count of all CDC-115's logged during the reporting month for Possession of Cell Phone/s. Data is collected from the first day of the reporting month to the last day of the reporting month, and totals are taken from the last day of the month.</p> <p>Metric deactivated, effective 3/1/2016.</p> <p><i>Institution Reported</i></p>
125	Riot/Disturbance Control	<p>The CDC-1154 Disciplinary Action Logs are used to collect this data, using the Specific Act field. This data represents a count of all CDC-115's logged during the reporting month for Riot/Disturbance Control. Data is collected from the first day of the reporting month to the last day of the reporting month, and totals are taken from the last day of the month.</p> <p>Metric deactivated, effective 3/1/2016.</p> <p><i>Institution Reported</i></p>
126	Resisting Staff	<p>The CDC-1154 Disciplinary Action Logs are used to collect this data, using the Specific Act field. This data represents a count of all CDC-115's logged during the reporting month for Resisting Staff. Data is collected from the first day of the reporting month to the last day of the reporting month, and totals are taken from the last day of the month.</p> <p>Metric deactivated, effective 3/1/2016.</p> <p><i>Institution Reported</i></p>
127	Weapon	<p>The CDC-1154 Disciplinary Action Logs are used to collect this data, using the Specific Act field. This data represents a count of all CDC-115's logged during the reporting month for Weapon. Data is collected from the first day of the reporting month to the last day of the reporting month, and totals are taken from the last day of the month.</p> <p>Metric deactivated, effective 3/1/2016.</p> <p><i>Institution Reported</i></p>
128	Attempted Murder	<p>The CDC-1154 Disciplinary Action Logs are used to collect this data, using the Specific Act field. This data represents a count of all CDC-115's logged during the reporting month for Attempted Murder. Data is collected from the first day of the reporting month to the last day of the reporting month, and totals are taken from the last day of the month.</p> <p>Metric deactivated, effective 3/1/2016.</p> <p><i>Institution Reported</i></p>
129	Murder	<p>The CDC-1154 Disciplinary Action Logs are used to collect this data, using the Specific Act field. This data represents a count of all CDC-115's logged during the reporting month for Murder. Data is collected from the first day of the reporting month to the last day of the reporting month, and totals are taken from the last day of the month.</p> <p>Metric deactivated, effective 3/1/2016.</p> <p><i>Institution Reported</i></p>



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130	Other	<p>The CDC-1154 Disciplinary Action Logs are used to collect this data, using the Specific Act field. This data reflects all CDC-115's logged during the reporting month, and not already counted and compiled with the other categories.</p> <p>Data is collected from the first day of the reporting month to the last day of the reporting month, and totals are taken from the last day of the month.</p> <p>Metric deactivated, effective 3/1/2016.</p> <p><i>Institution Reported</i></p>
131	Number of RVR's Involving Mental Health Inmates	<p>The CDC-1154 Disciplinary Action Logs are used to collect this data, using the mental health status field. This data represents a count of all CDC-115's logged during the reporting month that involve MH inmates. Data is collected from the first day of the reporting month to the last day of the reporting month, and totals are taken from the last day of the month.</p> <p>Metric deactivated, effective 3/1/2016.</p> <p><i>Institution Reported</i></p>
132	Serious 115's Lost to time constraints	<p>The FINDINGS/DISPOSITION section of the CDC-1154 Disciplinary Logs is used to collect this data. This data reflects the number of CDC-115's that were classified as "Serious" in which time constraints have been lost. Include in this total any Serious 115's written in a prior month/s adjudicated in the current reporting month. Data is collected from the first day of the reporting month to the last day of the reporting month, and totals are taken from the last day of the month.</p> <p>Metric deactivated, effective 3/1/2016.</p> <p><i>Institution Reported</i></p>
133	Per 100 Inmates	<p>Formula: "Per 100 Inmates" equals the sum of "Total Inmate RVR's" divided by "Inmate Count" multiplied by 100. Metric activated, effective 3/1/2016.</p> <p><i>Formula</i></p>
134	Total Inmate RVRs	<p>Formula: Total Inmate RVR's equals the sum of "Counseling RVR's" plus "Administrative RVR's - Final/Concluded" plus "Serious RVR's - Final/Concluded". Metric activated, effective 3/1/2016.</p> <p><i>Formula</i></p>
135	Counseling RVRs	<p>Number of Counseling Rules Violations Reports (RVR) (formerly CDCR 128-A) issued to inmates during the reporting period. Totals are taken on the last day of the reporting period. Count includes only RVR's issued for counseling that have a status of final/concluded. See glossary for definition of Counseling RVR and disposition. Metric activated, effective 3/1/2016.</p> <p><i>SOMS</i></p>



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136	Administrative RVRs	<p>Number of Administrative Rules Violations Reports (RVR) issued to inmates that were adjudicated during the reporting period. Totals are taken on the last day of the reporting period. Count includes only RVR's for administrative violations that have a status of final/concluded and a guilty disposition. See glossary for definition of Administrative RVR and adjudicated. Metric activated, effective 3/1/2016.</p> <p><i>SOMS</i></p>
137	Serious RVRs	<p>Number of Serious Rules Violations Reports (RVR) issued to inmates that were adjudicated during the reporting period. Totals are taken on the last day of the reporting period. Count includes only RVR's for serious violations that have a status of final/concluded and a guilty disposition. See glossary for definition of Serious RVR and adjudicated. Metric activated, effective 3/1/2016.</p> <p><i>SOMS</i></p>
138	Pending RVRs	<p>Number of RVR's written and issued to inmates during the reporting period. Totals are taken on the last day of the reporting period. Count includes RVR's that have not been adjudicated. Count excludes RVR's that are voided, final/concluded, ordered re-issued/reheard. Count excludes the number of inmates. See glossary for definition of adjudicated. Metric activated, effective 3/1/2016.</p> <p><i>SOMS</i></p>
139	Camp RVRs	<p>Number of RVR's issued to inmates assigned to a fire camp that were adjudicated during the reporting period. Totals are taken on the last day of the reporting period. Count includes serious and administrative RVR's that have a status of final/concluded and a guilty disposition. Count excludes the number of inmates. See glossary for definition of adjudicated and disposition. Metric activated, effective 3/1/2016.</p> <p><i>SOMS</i></p>
140	Serious RVRs Exceeding Time Limitations	<p>Number of RVR's issued to inmates that are classified per CCR Title 15 Section 3315 as "Serious" in which time limitations have not been met/exceeded pursuant to CCR Title 15 Section 3320 that occurred during the reporting period. Totals are taken on the last day of the reporting period. See Glossary for definition of serious RVR. Metric activated, effective 3/1/2016.</p> <p><i>SOMS</i></p>
141	Conduct	<p>Number of Rules Violation Reports (RVR) issued to inmates pursuant to CCR Title 15 Section 3005 (a), (b), (c), and (d) offenses, "Conduct" that were adjudicated during the reporting period. Totals are taken on the last day of the reporting period. Count includes RVR's issued for conduct that have a status of final/concluded and a guilty disposition. Count excludes the number of inmates. See Glossary for definition of conduct, adjudicated, and disposition. Metric activated, effective 3/1/2016.</p> <p><i>SOMS</i></p>



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142	Total Assaults	Formula: "Total Assaults" equals the sum of "Assault on a Non-Prisoner - Final/Concluded" plus "Assault on a Prisoner - Final/Concluded" plus "Assault on a Peace Officer - Final/Concluded" plus "Assault with a Deadly Weapon - Final/Concluded". Metric activated, effective 3/1/2016. <i>Formula</i>
143	Assault on a Non-Prisoner	Number of RVR's issued to inmates for assault on a Non-Prisoner pursuant to CCR Title 15 Section 3005 (d)(1) Force or Violence, that were adjudicated during the reporting period. Totals are taken on the last day of the reporting period. Count includes RVR's with a status of final/concluded and a guilty disposition. Count excludes the number of inmates. See Glossary for definition of assault, adjudicated, disposition, and non-prisoner. Metric activated, effective 3/1/2016. <i>SOMS</i>
144	Assault on a Prisoner	Number of RVR's issued to inmates for assault on a Prisoner pursuant to CCR Title 15 Section 3005 (d)(1) Force or Violence, that were adjudicated during the reporting period. Totals are taken on the last day of the reporting period. Count includes RVR's with a status of final/concluded and a guilty disposition. Count excludes the number of inmates. See Glossary for definition of assault, adjudicated, and disposition. Metric activated, effective 3/1/2016. <i>SOMS</i>
145	Assault on a Peace Officer	Number of RVR's issued to inmates for assault on a Peace Officer pursuant to CCR Title 15 Section 3005 (d)(1) Force or Violence, that were adjudicated during the reporting period. Totals are taken on the last day of the reporting period. Count includes RVR's with a status of final/concluded and a guilty disposition. Count excludes the number of inmates. See Glossary for definition of assault, adjudicated, and disposition. Metric activated, effective 3/1/2016. <i>SOMS</i>
146	Assault with a Deadly Weapon	Number of RVR's issued to inmates for assault with a deadly weapon pursuant to CCR Title 15 Section 3005 (d)(1) Force or Violence, that were adjudicated during the reporting period. Totals are taken on the last day of the reporting period. Count includes RVR's with a status of final/concluded and a guilty disposition. Count excludes the number of inmates. See Glossary for definition of assault, adjudicated, disposition, and deadly weapon. Metric activated, effective 3/1/2016. <i>SOMS</i>
147	Total Batteries	Formula: "Total Batteries" equals the sum of "Battery on a Non-Prisoner - Final/Concluded" plus "Battery on a Prisoner - Final/Concluded" plus "Battery on a Peace Officer - Final/Concluded" plus "Battery with a Deadly Weapon - Final/Concluded". Metric activated, effective 3/1/2016. <i>Formula</i>



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148	Battery on a Non-Prisoner	Number of RVR's issued to inmates for battery on a Non-Prisoner pursuant to CCR Title 15 Section 3005 (d)(1) Force or Violence, that were adjudicated during the reporting period. Totals are taken on the last day of the reporting period. Count includes RVR's with a status of final/concluded and a guilty disposition. Count excludes the number of inmates. See Glossary for definition of battery, adjudicated, disposition, and non-prisoner. Metric activated, effective 3/1/2016. <i>SOMS</i>
149	Battery on a Prisoner	Number of RVR's issued to inmates for battery on a Prisoner pursuant to CCR Title 15 Section 3005 (d)(1) Force or Violence, that were adjudicated during the reporting period. Totals are taken on the last day of the reporting period. Count includes only RVR's with a status of final/concluded and a guilty disposition. Count excludes the number of inmates. See Glossary for definition of battery, adjudicated, and disposition. Metric activated, effective 3/1/2016. <i>SOMS</i>
150	Battery on a Peace Officer	Number of RVR's issued to inmates for battery on a Peace Officer pursuant to CCR Title 15 Section 3005 (d)(1) Force or Violence, that were adjudicated during the reporting period. Totals are taken on the last day of the reporting period. Count includes RVR's with a status of final/concluded and a guilty disposition. Count excludes the number of inmates. See Glossary for definition of battery, adjudicated, and disposition. Metric activated, effective 3/1/2016. <i>SOMS</i>
151	Battery with a Deadly Weapon	Number of RVR's issued to inmates for battery with a deadly weapon pursuant to CCR Title 15 Section 3005 (d)(1) Force or Violence, that were adjudicated during the reporting period. Totals are taken on the last day of the reporting period. Count includes RVR's with a status of final/concluded and a guilty disposition. Count excludes the number of inmates. See Glossary for definition of battery, adjudicated, disposition, and deadly weapon. Metric activated, effective 3/1/2016. <i>SOMS</i>
152	Possession of Controlled Substance/Stimulant/ Sedative	Number of RVR's issued to inmates for offenses related to possession of a controlled substance/stimulant/sedative pursuant to CCR Title 15 Section 3016 (a), and (b) that were adjudicated during the reporting period. Totals are taken on the last day of the reporting period. Count includes possession of a controlled substance in an institution, unauthorized possession of medication, or possession of alcohol. Count includes RVR's with a status of final/concluded and a guilty disposition. Count excludes the number of inmates. See Glossary for definition of controlled substance, stimulant, sedative, adjudicated and disposition. Metric activated, effective 3/1/2016. <i>SOMS</i>



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153	Unauthorized Possession of Drug Paraphernalia	Number of RVR's issued to inmates for offenses related to unauthorized possession of drug paraphernalia pursuant to CCR Title 15 Section 3016 (a), and (b) that were adjudicated during the reporting period. Totals are taken on the last day of the reporting period. Count includes RVR's with a status of final/concluded and a guilty disposition. Count excludes the number of inmates. See Glossary for definition of drug paraphernalia, adjudicated, and disposition. Metric activated, effective 3/1/2016.
<i>SOMS</i>		
154	Under the Influence of a Controlled Substance/Stimulant/Sedative	Number of RVR's issued to inmates for offenses related to being under the influence of a controlled substance/stimulant/sedative pursuant to CCR Title 15 Section 3016 (a) that were adjudicated during the reporting period. Totals are taken on the last day of the reporting period. Count includes under the influence of an intoxicant, controlled substance, drug, or alcohol. Count includes RVR's with a status of final/concluded and a guilty disposition. Count excludes the number of inmates. See Glossary for definition of controlled substance, stimulant, sedative, intoxicant, adjudicated, and disposition. Metric activated, effective 3/1/2016.
<i>SOMS</i>		
155	Distribution/Introduction of a Controlled Substance	Number of RVR's issued to inmates for offenses related to distribution/introduction of a controlled substance pursuant to CCR Title 15 Section 3016 (c) that were adjudicated during the reporting period. Totals are taken from the last day of the reporting period. Count includes distribution of a controlled substance or introduction of a controlled substance. Count includes RVR's with a status of final/concluded and a guilty disposition. Count excludes the number of inmates. See Glossary for definition of controlled substance, adjudicated, and disposition. Metric activated, effective 3/1/2016.
<i>SOMS</i>		
156	Positive U.A.	Number of RVR's issued to inmates for offenses related to a positive urinalysis (U.A.) test pursuant to CCR Title 15 Section 3016 (a) that were adjudicated during the reporting period. Totals are taken on from the last day of the reporting period. Count includes use of controlled substance, marijuana, barbiturates, or alcohol based solely on a positive test. Count includes RVR's with a status of final/concluded and a guilty disposition. Count excludes the number of inmates. See Glossary for definition of controlled substance, urinalysis, adjudicated, and disposition. Metric activated, effective 3/1/2016.
<i>SOMS</i>		
157	U.A. Refusal	Number of RVR's issued to inmates for refusal to submit a urinalysis (U.A.) test pursuant to CCR Title 15 Section 3290 (d) that were adjudicated during the reporting period. Totals are taken on the last day of the reporting period. Count excludes the number of inmates. See Glossary for definition of urinalysis and adjudicated. Metric activated, effective 3/1/2016.
<i>SOMS</i>		



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158	Indecent Exposure (IEX)	Number of RVR's issued to inmates for indecent exposure related offenses pursuant to CCR Title 15 Section 3007 that were adjudicated during the reporting period. Totals are taken on the last day of the reporting period. Count includes indecent exposure with and without prior Penal Code (PC) 288 convictions or indecent exposure with and without prior PC 314 convictions. Count includes RVR's with a status of final/concluded and a guilty disposition. Count excludes the number of inmates. See Glossary for definition of indecent exposure, adjudicated, and disposition. Metric activated, effective 3/1/2016. <i>SOMS</i>
159	Sexual Disorderly Conduct	Number of RVR's issued to inmates for sexual disorderly conduct related offenses pursuant to CCR Title 15 Section 3007 that were adjudicated during the reporting period. Totals are taken on the last day of the reporting period. Count includes RVR's with a status of final/concluded and a guilty disposition. Count excludes the number of inmates. See Glossary for definition of sexual disorderly conduct, adjudicated, and disposition. Metric activated, effective 3/1/2016. <i>SOMS</i>
160	Possession of Cell Phone(s)	Number of RVR's issued to inmates for possession of a cell phone pursuant to CCR Title 15 Section 3006 (a) that were adjudicated during the reporting period. Totals are taken on the last day of the reporting period. Count includes constructive possession of a cellular telephone or possession of a cellular telephone. Count includes RVR's with a status of final/concluded and a guilty disposition. Count excludes number of inmates. See Glossary for definition of constructive possession, possession, adjudicated, and disposition. Metric activated, effective 3/1/2016. <i>SOMS</i>
161	Possession of Wireless Communication Device (s)	Number of RVR's issued to inmates for possession of a wireless communication device pursuant to CCR Title 15 Section 3006 (a) that were adjudicated during the reporting period. Totals are taken on the last day of the reporting period. Count includes constructive possession of a wireless communication device, constructive possession of a wireless device, possession of a wireless communication device, or possession of a wireless device. Count includes RVR's with a status of final/concluded and a guilty disposition. Count excludes number of inmates. See Glossary for definition of constructive possession, possession, wireless device, adjudicated, and disposition. Metric activated, effective 3/1/2016. <i>SOMS</i>
162	Disturbance, Riot, or Strike	Number of RVR's issued to inmates for participation in a disturbance, riot or strike pursuant to CCR Title 15 Section 3005 (a) and (d), that were adjudicated during the reporting period. Totals are taken on the last day of the reporting period. Count includes serious disruption of facility operations, mass hunger strike, inciting a riot, participation in a riot, participation in a rout, or participation in an unlawful assembly. Count includes RVR's with a status of final/concluded and a guilty disposition. Count excludes the number of inmates. See Glossary for definition of disturbance, riot, strike, adjudicated, disposition, unlawful assembly, and rout. Metric activated, effective 3/1/2016. <i>SOMS</i>



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163	Fighting	<p>Number of RVR's issued to an inmate for fighting pursuant to CCR Title 15 Section 3005 (d) (1) that were adjudicated during the reporting period. Totals are taken on the last day of the period. Count includes RVR's with a status of final/concluded and a guilty disposition. Count excludes the number of inmates. See Glossary for definition of fighting, adjudicated, and disposition. Metric activated, effective 3/1/2016.</p> <p><i>SOMS</i></p>
164	Threats	<p>Number of RVR's issued to inmates for threats pursuant to CCR Title 15 Section 3005 (d)(1) that were adjudicated during the reporting period. Totals are taken on the last day of the reporting period. Count includes threatening to cause serious bodily injury (SBI) to a public official, threatening to cause SBI to a public official's family, threatening to kill a public official, or threatening to kill a public official's family. Count includes RVR's with a status of final/concluded and a guilty disposition. Count excludes the number of inmates. See Glossary for definition of threatening, adjudicated, disposition, and serious bodily injury. Metric activated, effective 3/1/2016.</p> <p><i>SOMS</i></p>
165	Willfully Resisting, Delaying or Obstructing a Peace Officer	<p>Number of RVR's issued to inmates for willfully resisting, delaying or obstructing a peace officer pursuant to CCR Title 15 Section 3005 (d) that were adjudicated during the reporting period. Totals are taken on the last day of the reporting period. Count includes resisting staff, disobeying orders, behavior which could lead to violence, willfully delaying a peace officer in the performance of duty, willfully obstructing a peace officer in the performance of duty, or willfully resisting a peace officer in the performance of duty. Count includes RVR's with a status of final/concluded and a guilty disposition. Count excludes the number of inmates. See Glossary for definition of resisting, delaying, obstructing, adjudicated, and disposition. Metric activated, effective 3/1/2016.</p> <p><i>SOMS</i></p>
166	Possession, Manufacture or Attempt to Manufacture a Deadly Weapon or Explosive Device	<p>Number of RVR's issued to inmates for possession, manufacture or attempt to manufacture a deadly weapon or explosive device pursuant to CCR Title 15 Section 3006 (a) that were adjudicated during the reporting period. Totals are taken on the last day of the reporting period. Count includes attempted manufacture of a deadly weapon, attempted manufacture of an explosive device, manufacture of a deadly weapon, manufacture of an explosive device, possession of a deadly weapon, or possession of an explosive device. Count includes RVR's with a status of final/concluded and a guilty disposition. Count excludes the number of inmates. See Glossary for definition of deadly weapon, explosive device, adjudicated, and disposition. Metric activated, effective 3/1/2016.</p> <p><i>SOMS</i></p>
167	Attempted Murder	<p>Number of RVR's issued to an inmate for attempted murder pursuant to CCR Title 15 Section 3005 (d)(1) that were adjudicated during the reporting period. Totals are taken on the last day of the reporting period. Count includes RVR's with a status of final/concluded and a guilty disposition. Count excludes the number of inmates involved. See Glossary for definition of attempted murder, adjudicated, and disposition. Metric activated, effective 3/1/2016.</p> <p><i>SOMS</i></p>



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168 Murder Number of RVR's issued to inmates for murder pursuant to CCR Title 15 Section 3005 (d)(1), that were adjudicated during the reporting period. Totals are taken on the last day of the reporting period. Count includes RVR's with a status of final/concluded and a guilty disposition. Count excludes the number of inmates involved. See Glossary for definition of murder, adjudicated, and disposition. **Metric activated, effective 3/1/2016.**
SOMS

169 Other Number of RVR's issued to an inmate for offenses not listed as a separate metric in this report that were adjudicated during the reporting period. Totals are taken on the last day of the reporting period. Count includes RVR's with a status of final/concluded and a guilty disposition. Count excludes the number of inmates. See glossary for definition of adjudicated and disposition. **Metric activated, effective 3/1/2016.**
SOMS

170 Number of RVR's Involving Mental Health Inmates Number of RVR's issued to inmates in the Mental Health Services Delivery System (MHSDS) that were adjudicated during the reporting period. Totals are taken on the last day of the reporting period. Count includes RVR's issued only to mental health inmates with a status of final/concluded and a guilty disposition. Count excludes number of inmates. See glossary for definition of adjudicated and disposition. **Metric activated, effective 3/1/2016.**
SOMS

Number of Incidents

172 Number of Incidents This number is drawn from every reportable incident that occurred during the reporting period at each institution for which a CDC 837 Crime/Incident Report was completed. The "type" categories are broken down below. 837 Field Name - All 837 Part A's; voided incidents are excluded. When amendments or changes to an incident report are needed, please note that those completed and uploaded in DIRS on a Closure Report, will not be reflected on the COMPSTAT report.
**Incident titles noted in the CDC 837 Part A Specific Crime field must be chosen from the dropdown menu list within DIRS to be counted in a specific category on the COMPSTAT report. If the incident title is hand-typed in the Specific Crime field rather than chosen from the dropdown menu list available in DIRS, the incident may be counted in the Miscellaneous category.
DIRS

173 Per 100 Inmates Formula:
"Total Number of Incidents" ÷ "Inmate Count" = Ratio per 100 Inmates
Formula

174 Number of Voided Incident Reports This is the number of voided incidents during the reporting period.
DIRS

175 Total Number of Documented Force This is the number of incidents involving use of force. 837 Field Name - Type of Weapon/Shots Fired/Force: Any selection other than 'N/A'
DIRS



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Number of Incidents

Custody Operations

176	Per 100 Inmates	Formula: "Total Number of Documented Force" ÷ "Inmate Count" = Ratio per 100 Inmates <i>Formula</i>
177	Departmental Executive Use of Force Review	This is the number of incidents requested for review by the Institutional Departmental Executive Use of Force Committee (request usually comes from either the Director or the Associate Director's office). <i>Institution Reported</i>
178	Total Number of Overdue UOF Reviews - 30 Days	This is the number of overdue Institutional Executive Use of Force Reviews of incidents involving the use of force as of the last day of the reporting month. Overdue cases are those not brought before the Institutional Executive UOF Review Committee for initial review within 30 days following the incident. Day 31 constitutes an overdue UOF incident review. Do not include cases referred to the Office of Internal Affairs. This count will be a running total and will include overdue reviews from prior months. <i>Institution Reported</i>
179	Total Number of Overdue UOF Reviews - 90 Days	This is the number of overdue Institutional Executive UOF reviews of incidents involving the use of force as of the last day of the reporting month. These overdue cases are those not brought before the IEUOFC for initial review within 90 days following the incident. Do not include cases referred to OIA. This count will be a running total and will include overdue reviews from prior months. <i>Institution Reported</i>
180	Lockdown/Modified Programs	This is the number of lockdown/modified programs that were in effect during the reporting period (include numbers for newly initiated modified/lockdown programs, numbers for those that remain open from previous reporting periods, those lockdown/modified programs that were either opened in a previous month and closed in the current month and those that were opened and closed in the current month; i.e. during the month of June there were two modified programs that remained open at the end of the month, and during the month of July you initiated a new lockdown/modified program, report three for the month of July). Do not include instances where programs were locked down or modified for less than a 24-hour period. These numbers should correspond to the number of Program Status Reports that remain open and those that were closed and forwarded to the respective Associate Director for approval. This field DOES NOT include the number of inmates placed on lockdown/modified program. This number will be reported as a point in time number to accurately reflect how many modified/lockdown programs the institution recorded during the reporting period. <i>Institution Reported</i>



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Type of Force

Custody Operations

182 **OC**

Use of Oleoresin Capsicum (OC), commonly known as pepper spray or OC gas. This is the total number of incidents during the reporting period where OC was used. If there are multiple options used during one incident each option will only be counted once (e.g. if the baton, OC, and physical force options are used during the same incident, each option will be counted once. If two different people use OC during the same incident then OC will be counted once because only one force option was used.) The number of incidents and breakdown by type of force numbers will not necessarily match as more than one option can be used per incident. 837 Field Name - Type of Weapon/Shots Fired/Force: OC

DIRS

183 **Physical Force**

Use of physical force. This is the total number of incidents during the reporting period where physical force was used. If there are multiple options used during one incident each option will only be counted once. (e.g. if the baton, OC, and physical force are used during the same incident, each option will be counted once. If two different people use physical force during the same incident then physical force will be counted once because only one force option was used.) The number of incidents and breakdown by type of force numbers will not necessarily match as more than one option can be used per incident. 837 Field Name - Type of Weapon/Shots Fired/Force: Physical Force

DIRS

184 **Baton**

Use of an Expandable Baton. This is the total number of incidents during the reporting period where the baton force option was used. If there are multiple options used during one incident each option will be counted once. (e.g. if the baton, OC, and physical force are used during the same incident each option will be counted once. If two different people use the baton during the same incident then the baton will be counted once.) The number of incidents and breakdown by type of force numbers will not necessarily match as more than one option can be used per incident. 837 Field Name - Type of Weapon/Shots Fired/Force: Exp. Baton

DIRS

185 **CN**

Use of Phenacyl Chloride (CN), common ingredient in "Mace" or "Tear Gas" This is the total number incidents during the reporting period where the CN force option was used. If there are multiple options used during one incident each option will only be counted once (e.g. if the baton, OC, and physical force are used during the same incident each option will be counted once. If two different people use CN during the same incident then CN will be counted once because only one force option was used.) The number of incidents and breakdown by type of force numbers will not necessarily match as more than one option can be used per incident. 837 Field Name - Type of Weapon/Shots Fired/Force: CN

DIRS



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Type of Force

Custody Operations

186 **37 mm/40 mm**

Use of force involving firearms with 37mm or 40mm caliber. This is the total number of incidents during the reporting period where the 37mm or 40mm caliber force option was used. If there are multiple options used during one incident each option will be counted once. (e.g. if the baton, OC, and physical force options are used during the same incident, each option will be counted once. If two different people use the 37mm or 40mm during the same incident then the 37mm/40mm will be counted once because only one force option was used.) The number of incidents and breakdown by type of force numbers will not necessarily match as more than one option can be used per incident. 837 Field Name - Type of Weapon/Shots Fired/Force: 37MM, 40MM, or 40MM Multi

DIRS

187 **Mini 14 - Shots**

Shots fired from a Mini 14. This does not include warning shots (in the below line) but rather shots 'for effect', which means the shot was aimed at a specific human target - The result of the shot is not considered. 837 Field Name - Type of Weapon/Shots Fired/Force: Mini 14; Number of shots under '#Effect'

DIRS

188 **Mini 14 - Warning Shots**

Warning shots fired from a Mini 14. Exclusive per above and indicates the shot was not aimed at a specific human target. 837 Field Name - Type of Weapon/Shots Fired/Force: Mini 14; Number of shots under '#Warning'

DIRS

189 **Other**

Use of force options not broken out above. This is the total number of incidents during the reporting period where an 'Other' force option was used. This line may include force options utilized by a staff member where Department issued options either failed or were unavailable. If there are multiple options used during one incident each option will only be counted once. (e.g. if the baton, OC, and physical force options are used during the same incident, each option will be counted once. If two different people use OC during the same incident then OC will be counted once because only one force option was used). The number of incidents and breakdown by type of force numbers will not necessarily match as more than one option can be used per incident. 837 Field Name - Type of Weapon/Shots Fired/Force: Any selection other than 'N/A', and Type of Weapon not listed in the above categories

DIRS

Incidents

191 **Controlled Substance Involved/U.A.**

This data is a total collected from the "Specific Crime Incident" line of the 837A with the specific verbiage 'Controlled Substance' and/or 'Stimulants and Sedatives.' Note: The total on this line will not necessarily match the total of the specific controlled substances (i.e. heroine, barbituates, etc.) as an incident may involve more than one type of substance. 837 Field Name - Controlled Substance: Any selection other than 'N/A'

DIRS

192 **Methamphetamine**

The number of methamphetamine related incidents during the reporting period. 837 Field Name - Controlled Substance: Methamphetamine

DIRS



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193	Marijuana	The number of marijuana related incidents during the reporting period. 837 Field Name - Controlled Substance: Marijuana <i>DIRS</i>
194	Heroin	The number of heroin related incidents during the reporting period. 837 Field Name - Controlled Substance: Heroin <i>DIRS</i>
195	Cocaine	The number of cocaine related incidents during the reporting period. 837 Field Name - Controlled Substance: Cocaine <i>DIRS</i>
196	Amphetamine	The number of amphetamine related incidents during the reporting period. <i>DIRS</i>
197	Barbiturates	The number of barbiturate related incidents during the reporting period. <i>DIRS</i>
198	Codeine	The number of codeine related incidents during the reporting period. <i>DIRS</i>
199	Morphine	The number of morphine related incidents during the reporting period. <i>DIRS</i>
200	Drug Paraphernalia/Other	The number of incidents involving the discovery or possession of drug paraphernalia or any controlled substance incident in which the "Other" box was checked on the CDCR 837-A. 837 Field Name - Controlled Substance: Any selection other than 'N/A'; Substance not listed in the above categories <i>DIRS</i>
201	Assault On Staff	Formula Driven Line (Sum of the following 2 lines) <i>Formula</i>
202	With Weapon	The number of Assault on staff incidents involving the use of a weapon. <i>DIRS</i>
203	Without Weapon	The number of Assault on staff incidents without the use of a weapon. <i>DIRS</i>



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204	Battery on Staff	This is the total number of 'Battery on Staff' incidents with the verbiage 'Battery' and 'Officer'; 'Battery and 'Non-Prisoner'; 'Rape' and 'Officer'; and 'Rape' and 'Non-Prisoner' pulled from the Specific Crime section of the 837-A that occurred during the reporting period. 837 Field Name - Specific Crime/Incident: contains one of the word combinations 'Battery' and 'Officer'; 'Battery' and 'Non-Prisoner'; 'Rape' and 'Officer'; 'Rape' and 'Non-Prisoner'
<i>Formula</i>		
205	With Weapon	The number of battery on staff incidents involving the use of a weapon.
<i>DIRS</i>		
206	Without Weapon	The number of battery on staff incidents without the use of a weapon.
<i>DIRS</i>		
207	Aggravated Battery	This is the total number of 'Aggravated Battery' incidents with the verbiage 'Aggravated Battery'; 'Officer'; and 'Non-Prisoner' pulled from the Specific Crime section of the 837-A that occurred during the reporting period. 837 Field Name - Specific Crime Incident: contains one of the word combinations 'Aggravated Battery and 'Officer'; 'Non-Prisoner'.
<i>DIRS</i>		
208	Assault On Inmate	Formula Driven Line (Sum of the following 2 lines)
<i>Formula</i>		
209	With Weapon	The number of Assault on inmate incidents involving the use of a weapon.
<i>DIRS</i>		
210	Without Weapon	The number of Assault on inmate incidents without the use of a weapon.
<i>DIRS</i>		
211	Battery on Inmate	Formula: Sum of: "Battery with a Weapon" + "Battery without a Weapon" = Battery on Inmate Note: - Weapon codes between 100-299 (100 series refers to manufactured weapons, and 200 series to improvised or 'homemade' weapons) - Weapon codes in the 300, 400, and 500 series refer to Body Parts, Fluids, Natural Structures, respectively and are not considered 'weapons' in the classic sense. - If the weapon code for an incident is in the 300-599 series, or no weapon is noted - then the incident will be counted in the "With Out" Weapon category 837 Field Name - Specific Crime/Incident: contains the words 'Battery' and 'Inmate'. ** Weapon code reference guide is available on the COMPSTAT SharePoint Site under Data Sources, the DIRS link and Supporting Documents folder.
<i>Formula</i>		
212	With Weapon	The number of battery on inmate incidents involving the use of a weapon.
<i>DIRS</i>		



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213	Battery Causing Serious Bodily Injury (SBI)	This is the number of battery on inmate incidents retrieved from the DIRS Report that do not include the use of a weapon, yet do result in Serious Bodily Injury (SBI). <i>DIRS</i>
214	Cell Extractions	The number of cell extractions conducted during the reporting period. 837 Part A Field Name - Cell Extraction: any selection other than 'N/A'. Note: Please note that more than one cell extraction can be reported under a single incident log number in DIRS. <i>DIRS</i>
215	Cell Extractions (KEYHEA)	Enter the number of KEYHEA cell extractions conducted during the reported period. 837 Field Name - Cell Extraction: any selection other than 'N/A' Part B1 - Participant Type: KeyHea Participant <i>Institution Reported</i>
216	Escapes	The number of escapes that occurred during the reporting period. 837 Field Name - Escapes: With Force or Without Force; Attempted not selected. <i>DIRS</i>
217	Escapes- Number of Inmates Involved	The number of inmates involved in escapes during the reporting period. <i>DIRS</i>
218	Attempted Escapes	The number of attempted escapes that occurred during the reporting period. 837 Field Name - Escapes: Attempted <i>DIRS</i>
219	Melee/Riot	The number of riots that occurred during the reporting period. 837 Field Name - Specific Crime/Incident contains the word "riot" <i>DIRS</i>
220	Possession of a weapon	The number of incidents involving the possession of a weapon during a reporting period. 837 Field Name - Specific Crime/Incident contains the words "weapon" and "possession" <i>DIRS</i>
221	Resisting Staff	The number of resisting staff incidents that occurred during the reporting period. 837 Field Name - Specific Crime/Incident contains the words "resist" <i>DIRS</i>



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222	Threatening Staff	The number of threatening staff incidents that occurred during the reporting period. 837 Field Name - Specific Crime/Incident contains the words "threaten" and "officer" <i>DIRS</i>
223	Sexual Misconduct	The number of "Sexual Misconduct" related incidents during the reporting period. <i>DIRS</i>
224	Attempted Suicide	The number of attempted suicides that occurred during the reporting period. 837 Field Name - Specific Crime/Incident contains the words "attempted" and "suicide" <i>DIRS</i>
225	Suicide	The number of suicides that occurred during the reporting period. 837 Field Name - Under Cause of Death checked box 'Suicide.' <i>DIRS</i>
226	Expected Death	The number of expected deaths that occurred during the reporting period. 837 Field Name - Death and checked box 'Natural" under Cause of Death. <i>DIRS</i>
227	Homicide	The number of homicides that occurred during the reporting period. 837 Field Name - Under Cause of Death checked box 'Homicide.' <i>DIRS</i>
228	Unexpected Death	The number of unexpected deaths that occurred during the reporting period. 837 Field Name - Death and checked boxes Accidental, Execution, Overdose or Unknown under Cause of Death (Note: Suicide/Homicide are not included as they are already listed on the report individually). <i>DIRS</i>
229	Total Number of Deaths	The total number of inmate deaths that occurred during the reporting period. Note: This data is retrieved from the 837-A to include those incidents with a checked box of 'Inmate' under the 837 Field Name -Death and Cause of Death. <i>DIRS</i>
230	Contraband Cell Phone Discoveries	This data is pulled from the Contraband Cellular Telephone Discoveries Report (CCTDR) total by institution by month, and is maintained at the DAI Mission level. <i>CCTDR</i>



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Custody Operations

231 Miscellaneous

A miscellaneous incident is any incident that is not classified as one of the following categories: 1) Controlled Substance, 2) Battery on Staff, 3) Battery on Inmate, 4) Cell Extraction, 5) Escape, 6) Attempted Escape, 7) Melee or Riot, 8) Possession of a Weapon, 9) Resisting Staff, 10) Threatening Staff, 11) Medical (includes: Attempted Suicide, Suicide, Expected Death, Homicide, Unexpected Death). The number of miscellaneous incidents not included in the above list that have occurred during the reporting period. 837 Field Name - Any 837 Part A that does not meet any of the other criteria for incident facets above.

**Incident titles noted in the CDC 837 Part A Specific Crime field must be chosen from the dropdown menu list within DIRS to be counted in a specific category on the COMPSTAT report. If the incident title is hand-typed in the Specific Crime field rather than chosen from the dropdown menu list available in DIRS, the incident may be counted in the Miscellaneous category.

DIRS

232 Number of Non UOF Incidents Involving Mental Health Inmates

This is the total number of Non Use of Force Incidents that involved inmates with a Mental Health Level of Care as documented on the CDCR-837, Part B1, with one or more of the following Mental Health related checkboxes selected: Correctional Clinical Case Management System; Enhanced Outpatient Program (EOP); Mental Health Crisis Bed (MHCB); or Department of Mental Health (DMH). This metric is further filtered to only include incidents in which the Use of Force box on the CDCR 837, Part A, has not been selected.

DIRS

233 Number of UOF Incidents Involving Mental Health Inmates

This is the total number of Use of Force incidents that involved inmates with a Mental Health Level of Care as documented on the CDCR-837, Part B1, with one or more of the following Mental Health related checkboxes selected: Correctional Clinical Case Management System; Enhanced Outpatient Program (EOP); Mental Health Crisis Bed (MHCB); or Department of Mental Health (DMH). This metric is further filtered to include only incidents in which the Use of Force box on the CDCR 837 Part A has been selected.

DIRS

Contraband Surveillance Watch (CSW)

235 Inmate Placements on CSW

The count of inmate placements on Contraband Surveillance Watch (CSW) during the reporting month at an institution. A placement is defined as a stay on CSW beginning in the reporting month. Reporting month is defined as the first day of the calendar month through the last day of the calendar month.

DAI Monthly CSW Data Export to COMPSTAT

236 Average Number of Days on CSW

The average number of days on Contraband Surveillance Watch (CSW) for the reporting month. This value is calculated as the sum of CSW placement days for the reporting month at an institution divided by the count of inmate placements on CSW during the same reporting month at the same institution.

Formula



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Contraband Surveillance Watch (CSW)

Custody Operations

237 Average Number of Days on CSW (CYTD) The average number of days on Contraband Surveillance Watch (CSW) for the current calendar year through the reporting month. This value is calculated as the sum of CSW placement days beginning in January of the current calendar year through the reporting month at an institution divided by the count of inmate placements on CSW beginning in January of the same calendar year through the reporting month at the same institution.

Formula

238 Count of CSW Items Recovered The count of items recovered during an inmate placement on Contraband Surveillance Watch (CSW) in the reporting month. Contraband item(s) recovered may be drugs, weapons, cellular phones, and other (unspecified) items. If no contraband items are found, a zero is recorded. Certain contraband items may be lost due to the inmate’s digestive process resulting in less contraband items found than the true number of contraband items. The count of contraband items found may be larger than the count of inmate placements as multiple items may be found during a single inmate placement on CSW. A placement is defined as a stay on CSW beginning in the reporting month at an institution. Reporting month is defined as the first day of the calendar month through the last day of the calendar month.

DAI Monthly CSW Data Export to COMPSTAT

239 CSW Search Warrants Requested The count of Search Warrants requested for inmates on Contraband Surveillance Watch (CSW) during the reporting period. This count only reflects institution CSW search warrant requested and submitted to the District Attorney/Judge for review and approval. Reporting month is defined as the first day of the calendar month through the last day of the calendar month.

DAI Monthly CSW Data Export to COMPSTAT

240 Inmate Placements Exceeding 3 Days On CSW The count of inmate placements on Contraband Surveillance Watch (CSW) exceeding 3 consecutive full calendar days. A placement is defined as a stay on CSW beginning in the reporting month. Reporting month is defined as the first day of the calendar month through the last day of the calendar month. A full calendar day is defined as beginning at 00:00 hours and ending at 23:59 hours.

DAI Monthly CSW Data Export to COMPSTAT

In Cell Violence/Incidents

242 Number of In Cell Violence/Incidents (Between Inmates of Same Race) Provide the number of "In Cell" acts committed by inmates of the same race. This data shall be collected utilizing your institutions's Incident Package and Rules Violation Report (RVR) tracking system.

Institution Reported

243 Number of In Cell Violence/Incidents(Between Inmates of Different Race) Provide the number of "In Cell" acts committed by inmates of different races. This data shall be collected utilizing your institutions's Incident Package and Rules Violation Report (RVR) tracking system.

Institution Reported



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Custody Operations

In Cell Violence/Incidents

244 Total Number of In Cell Violence/Incidents as Result of the IHP Provide the number of incidents that are the result of the IHP

Institution Reported

Indecent Exposure Incidents

246 Total Number of IEX Incidents Enter the total number of indecent exposure incidents that occurred during the reporting month. Note: Do not include Sexually Disorderly Conduct Incidents.

Institution Reported

247 Number of D.A. Referrals Enter the total number of indecent exposure incidents referred to the District Attorney's Office for the reporting month.

Institution Reported

248 Number of Sexually Disorderly Conduct Incidents (Masturbation w/o Exposure) Enter the number of Sexual Disorderly Conduct (masturbation without exposure) incidents that occurred during the reporting period. Do not include IEX incidents.

Institution Reported

249 Number of documented mental health referrals (inmates) Enter the number of documented inmate mental health referrals for indecent exposure and sexually disorderly conduct incidents for the reporting month.

Institution Reported

250 Total Number of Victims Enter the total number of victims of indecent exposure and sexual disorderly conduct incidents for the reporting month. This number will not necessarily match the total number of Indecent Exposure and Sexually Disorderly Conduct Incidents as there may be multiple victims per incident.

Institution Reported

251 Number of Employee Report of Inmate Sexual Misconduct Forms Completed Enter the total number of Employee Report of Inmate Sexual Misconduct (CDCR 2152) forms completed by employees during the reporting period. A 2152 is required for both IEX and Sexual Disorderly Conduct Incidents. This number will not necessarily match the number of IEX and Sexually Disorderly Conduct Incidents as there may be multiple victims per incident.

Institution Reported

Inmate Appeals

253 Appeals Per 100 Inmates Formula: "Appeals Per 100 Inmates" equals the sum of "Total Appeals Received - All Levels" divided by "Inmate Count" multiplied by 100.

Metric activated, effective 1/1/2016.

Formula



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Inmate Appeals

Custody Operations

254	Total Appeals Received - All Levels	Formula: "Total Appeals Received - All Levels" equals the sum of "Appeals Received - 1st Level" plus "Appeals Received - 2nd Level" plus "Appeals Received - 3rd Level" Metric activated, effective 1/1/2016. <i>Formula</i>
255	Appeals Received - 1st Level	Number of 1st level non-medical inmate appeals received by the Institution Appeals Office during the reporting period. Totals are taken on the last day of the reporting period. Count does not include appeals that are resubmitted under an existing log number. Metric activated, effective 1/1/2016. <i>IATS</i>
256	Appeals Received - 2nd Level	Number of 2nd level non-medical inmate appeals received by the Institution Appeals Office during the reporting period. Totals are taken on the last day of the reporting period. Count does not include appeals that are resubmitted under an existing log number. Metric activated, effective 1/1/2016. <i>IATS</i>
257	Appeals Received - 3rd Level	Number of 3rd level non-medical inmate appeals received by the Office of Appeals during the reporting period. Totals are taken on the last day of the reporting period. Count does not include appeals that are resubmitted under an existing log number. Metric activated, effective 1/1/2016. <i>IATS</i>
258	Total Screen Outs - All Levels	Formula: "Total Screen Outs - All Levels" equals the sum of "Screen Outs - 1st Level" plus "Screen Outs - 2nd Level" plus "Screen Outs - 3rd Level" Metric activated, effective 1/1/2016. <i>Formula</i>
259	Screen Outs - 1st Level	Number of 1st level non-medical inmate appeals screened out and returned to the appellant during the reporting period, pursuant to CCR Title 15, Division 3, Section 3084.6. Totals are taken on the last day of the reporting period. Count may include appeals that were resubmitted and screened back out under an existing log number. See glossary for definition of screen out. Metric activated, effective 1/1/2016. <i>IATS</i>
260	Screen Outs - 2nd Level	Number of 2nd level non-medical inmate appeals screened out and returned to the appellant during the reporting period, pursuant to CCR Title 15, Division 3, Section 3084.6. Totals are taken on the last day of the reporting period. Count may include appeals that were resubmitted and screened back out under an existing log number. See glossary for definition of screen out. Metric activated, effective 1/1/2016. <i>IATS</i>



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Custody Operations

261	Screen Outs - 3rd Level	<p>Number of 3rd level non-medical inmate appeals screened out and returned to the appellant during the reporting period, pursuant to CCR Title 15, Division 3, Section 3084.6. Totals are taken on the last day of the reporting period. Count may include appeals that were resubmitted and screened back out under an existing log number. See glossary for definition of screen out.</p> <p>Metric activated, effective 1/1/2016.</p> <p><i>IATS</i></p>
262	Total Appeals Accepted - All Levels	<p>Formula: "Total Appeals Accepted - All Levels" equals the sum of "Total Appeals Received - All Levels" minus "Total Screen Outs - All Levels"</p> <p>Metric activated, effective 1/1/2016.</p> <p><i>Formula</i></p>
263	Total Overdue Appeals - All Levels	<p>Formula: "Total Overdue Appeals - All Levels" equals the sum of "Overdue Appeals (Cumulative) - 1st Level" plus "Overdue Appeals (Cumulative) - 2nd Level" plus "Overdue Appeals (Cumulative) - 3rd Level"</p> <p>Metric activated, effective 1/1/2016.</p> <p><i>Formula</i></p>
264	Overdue Appeals (Monthly Cumulative) - 1st Level	<p>Number of 1st level non-medical inmate appeals that were considered overdue during the reporting period, pursuant to CCR Title 15, Section 3084.8 Totals are taken on the last day of the reporting period. An appeal is considered overdue on the 31st working day after the appeal is received by the Institution Appeals Coordinator. Count includes appeals that were overdue at any time during the reporting period.</p> <p>Metric activated, effective 1/1/2016.</p> <p><i>IATS</i></p>
265	Overdue Appeals (Monthly Cumulative) - 2nd Level	<p>Number of 2nd level non-medical inmate appeals that were considered overdue during the reporting period, pursuant to CCR Title 15, Section 3084.8 Totals are taken on the last day of the reporting period. An appeal is considered overdue on the 31st working day after the appeal is received by the Institution Appeals Coordinator. Count includes appeals that were overdue at any time during the reporting period.</p> <p>Metric activated, effective 1/1/2016.</p> <p><i>IATS</i></p>
266	Overdue Appeals (Monthly Cumulative) - 3rd Level	<p>Number of 3rd level non-medical inmate appeals that were considered overdue during the reporting period, pursuant to CCR Title 15, Section 3084.8 Totals are taken on the last day of the reporting period. An appeal is considered overdue on the 61st working day after the appeal is received by the Third Level Appeals Chief. Count includes appeals that were overdue at any time during the reporting period.</p> <p>Metric activated, effective 1/1/2016.</p> <p><i>IATS</i></p>



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267	Total Overdue ADA Appeals - All Levels	Formula: "Total Overdue Appeals - All Levels" equals the sum of "Overdue ADA Appeals (Cumulative) - 1st Level" plus "Overdue ADA Appeals (Cumulative) - 2nd Level" plus "Overdue ADA Appeals (Cumulative) - 3rd Level" Metric activated, effective 1/1/2016. <i>IATS</i>
268	Overdue ADA Appeals (Monthly Cumulative) - 1st Level	Number of 1st level non-medical ADA inmate appeals that were considered overdue during the reporting period, pursuant to CCR Title 15, Section 3084.8 Totals are taken on the last day of the reporting period. An appeal is considered overdue on the 31st working day after the appeal is received by the Institution Appeals Coordinator. Count includes appeals that were overdue at any time during the reporting period. Metric activated, effective 1/1/2016. <i>IATS</i>
269	Overdue ADA Appeals (Monthly Cumulative) - 2nd Level	Number of 2nd level non-medical ADA inmate appeals that were considered overdue during the reporting period, pursuant to CCR Title 15, Section 3084.8 Totals are taken on the last day of the reporting period. An appeal is considered overdue on the 31st working day after the appeal is received by the Institution Appeals Coordinator. Count includes appeals that were overdue at any time during the reporting period. Metric activated, effective 1/1/2016. <i>IATS</i>
270	Overdue ADA Appeals (Monthly Cumulative) - 3rd Level	Number of 3rd level non-medical ADA inmate appeals that were considered overdue during the reporting period, pursuant to CCR Title 15, Section 3084.8 Totals are taken on the last day of the reporting period. An appeal is considered overdue on the 61st working day after the appeal is received by the Third Level Appeals Chief. Count includes appeals that were overdue at any time during the reporting period. Metric activated, effective 1/1/2016. <i>IATS</i>
271	Total Modification Orders Issued - All Levels	Formula: "Total Modification Orders Issued - All Levels" equals the sum of "Modification Orders Issued - 1st Level" plus "Modification Orders Issued - 2nd Level" plus "Modification Orders Issued - 3rd Level" Metric activated, effective 1/1/2016. <i>IATS</i>
272	Modification Orders Issued - 1st Level	Number of 1st level non-medical modification orders issued during the reporting period. Totals are taken on the last day of the reporting period. See glossary for definition of modification order. Metric activated, effective 1/1/2016. <i>IATS</i>



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273	Modification Orders Issued - 2nd Level	Number of 2nd level non-medical modification orders issued during the reporting period. Totals are taken on the last day of the reporting period. See glossary for definition of modification order. Metric activated, effective 1/1/2016. <i>IATS</i>
274	Modification Orders Issued - 3rd Level	Number of 3rd level non-medical modification orders issued during the reporting period. Totals are taken on the last day of the reporting period. See glossary for definition of modification order. Metric activated, effective 1/1/2016. <i>IATS</i>
275	Total Appeals Granted - All Levels	Formula: "Total Appeals Granted - All Levels" equals the sum of "Appeals Granted - 1st Level" plus "Appeals Granted - 2nd Level" plus "Appeals Granted - 3rd Level" Metric activated, effective 1/1/2016. <i>Formula</i>
276	Appeals Granted - 1st Level	Number of 1st level non-medical inmate appeals that were granted during the reporting period. Totals are taken on the last day of the reporting period. See glossary for definition of granted. Metric activated, effective 1/1/2016. <i>IATS</i>
277	Appeals Granted - 2nd Level	Number of 2nd level non-medical inmate appeals that were granted during the reporting period. Totals are taken on the last day of the reporting period. See glossary for definition of granted. Metric activated, effective 1/1/2016. <i>IATS</i>
278	Appeals Granted - 3rd Level	Number of 3rd level non-medical inmate appeals that were granted during the reporting period. Totals are taken on the last day of the reporting period. See glossary for definition of granted. Metric activated, effective 1/1/2016. <i>IATS</i>
279	Total Appeals Granted In Part - All Levels	Formula: "Total Appeals Granted in Part - All Levels" equals the sum of "Appeals Granted in Part - 1st Level" plus "Appeals Granted in Part - 2nd Level" plus "Appeals Granted in Part - 3rd Level" Metric activated, effective 1/1/2016. <i>Formula</i>



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280	Appeals Granted In Part - 1st Level	Number of 1st level non-medical inmate appeals that were granted in part during the reporting period. Totals are taken on the last day of the reporting period. See glossary for definition of granted in part. Metric activated, effective 1/1/2016. <i>IATS</i>
281	Appeals Granted In Part - 2nd Level	Number of 2nd level non-medical inmate appeals that were granted in part during the reporting period. Totals are taken on the last day of the reporting period. See glossary for definition of granted in part. Metric activated, effective 1/1/2016. <i>IATS</i>
282	Appeals Granted In Part - 3rd Level	Number of 3rd level non-medical inmate appeals that were granted in part during the reporting period. Totals are taken on the last day of the reporting period. See glossary for definition of granted in part. Metric activated, effective 1/1/2016. <i>IATS</i>
283	Total Appeals Cancelled - All Levels	Formula: "Total Appeals Cancelled - All Levels" equals the sum of "Appeals Cancelled - 1st Level" plus "Appeals Cancelled - 2nd Level" plus "Appeals Cancelled - 3rd Level" Metric activated, effective 1/1/2016. <i>Formula</i>
284	Appeals Cancelled - 1st Level	Number of 1st level non-medical inmate appeals that were cancelled pursuant to CCR Title 15, Section 3084.6 (c) during the reporting period. Totals are taken on the last day of the reporting period. See glossary for definition of cancelled. Metric activated, effective 1/1/2016. <i>IATS</i>
285	Appeals Cancelled - 2nd Level	Number of 2nd level non-medical inmate appeals that were cancelled pursuant to CCR Title 15, Section 3084.6 (c) during the reporting period. Totals are taken on the last day of the reporting period. See glossary for definition of cancelled. Metric activated, effective 1/1/2016. <i>IATS</i>
286	Appeals Cancelled - 3rd Level	Number of 3rd level non-medical inmate appeals that were cancelled pursuant to CCR Title 15, Section 3084.6 (c) during the reporting period. Totals are taken on the last day of the reporting period. See glossary for definition of cancelled. Metric activated, effective 1/1/2016. <i>IATS</i>



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287	Total Appeals Denied - All Levels	Formula: "Total Appeals Denied - All Levels" equals the sum of "Appeals Denied - 1st Level" plus "Appeals Denied - 2nd Level" plus "Appeals Denied - 3rd Level" Metric activated, effective 1/1/2016. <i>Formula</i>
288	Appeals Denied - 1st Level	Number of 1st level non-medical inmate appeals that were denied during the reporting period. Totals are taken on the last day of the reporting period. See glossary for definition of denied. Metric activated, effective 1/1/2016. <i>IATS</i>
289	Appeals Denied - 2nd Level	Number of 2nd level non-medical inmate appeals that were denied during the reporting period. Totals are taken on the last day of the reporting period. See glossary for definition of denied. Metric activated, effective 1/1/2016. <i>IATS</i>
290	Appeals Denied - 3rd Level	Number of 3rd level non-medical inmate appeals that were denied during the reporting period. Totals are taken on the last day of the reporting period. See glossary for definition of denied. Metric activated, effective 1/1/2016. <i>IATS</i>
291	Total Appeals Withdrawn - All Levels	Formula: "Total Appeals Withdrawn - All Levels" equals the sum of "Appeals Withdrawn - 1st Level" plus "Appeals Withdrawn - 2nd Level" plus "Appeals Withdrawn - 3rd Level" Metric activated, effective 1/1/2016. <i>Formula</i>
292	Appeals Withdrawn - 1st Level	Number of 1st level non-medical inmate appeals that were withdrawn pursuant to CCR Title 15, Section 3084.6 (f) during the reporting period. Totals are taken on the last day of the reporting period. See glossary for definition of withdrawn. Metric activated, effective 1/1/2016. <i>IATS</i>
293	Appeals Withdrawn - 2nd Level	Number of 2nd level non-medical inmate appeals that were withdrawn pursuant to CCR Title 15, Section 3084.6 (f) during the reporting period. Totals are taken on the last day of the reporting period. See glossary for definition of withdrawn. Metric activated, effective 1/1/2016. <i>IATS</i>



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Inmate Appeals

Custody Operations

294	Appeals Withdrawn - 3rd Level	Number of 3rd level non-medical inmate appeals that were withdrawn pursuant to CCR Title 15, Section 3084.6 (f) during the reporting period. Totals are taken on the last day of the reporting period. See glossary for definition of withdrawn. Metric activated, effective 1/1/2016. <i>IATS</i>
Inmate Appeal Breakdown		
296	Disciplinary	Number of disciplinary related non-medical inmate appeals received during the reporting period. Totals are taken on the last day of the reporting period. Count includes 1st and 2nd level appeals only. See Glossary for definition of disciplinary appeal. Metric activated, effective 1/1/2016. <i>IATS</i>
297	Custody/Classification	Number of custody/classification related non-medical inmate appeals received during the reporting period. Totals are taken on the last day of the reporting period. Count includes 1st and 2nd level appeals only. See Glossary for definition of custody/classification appeal. Metric activated, effective 1/1/2016. <i>IATS</i>
298	Mail	Number of mail related non-medical inmate appeals received during the reporting period. Totals are taken on the last day of the reporting period. Count includes 1st and 2nd level appeals only. See Glossary for definition of mail appeal. Metric activated, effective 1/1/2016. <i>IATS</i>
299	Visiting	Number of visiting related non-medical inmate appeals received during the reporting period. Totals are taken on the last day of the reporting period. Count includes 1st and 2nd level appeals only. See Glossary for definition of visiting appeal. Metric activated, effective 1/1/2016. <i>IATS</i>
300	Personal Property	Number of personal property related non-medical inmate appeals received during the reporting period. Totals are taken on the last day of the reporting period. Count includes 1st and 2nd level appeals only. See Glossary for definition of personal property appeal. Metric activated, effective 1/1/2016. <i>IATS</i>
301	Case Records	Number of case records related non-medical inmate appeals received during the reporting period. Totals are taken on the last day of the reporting period. Count includes 1st and 2nd level appeals only. See Glossary for definition of case records appeal. Metric activated, effective 1/1/2016. <i>IATS</i>



Division of Adult Institutions

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Inmate Appeal Breakdown

Custody Operations

302	Staff Complaints	Number of staff complaint related non-medical inmate appeals received during the reporting period. Totals are taken on the last day of the reporting period. Count includes 1st and 2nd level appeals only. See Glossary for definition of staff complaint appeal. Metric activated, effective 1/1/2016. <i>IATS</i>
303	Living Conditions	Number of living conditions related non-medical inmate appeals received during the reporting period. Totals are taken on the last day of the reporting period. Count includes 1st and 2nd level appeals only. See Glossary for definition of living condition appeals. Metric activated, effective 1/1/2016. <i>IATS</i>
304	Legal	Number of legal related non-medical inmate appeals received during the reporting period. Totals are taken on the last day of the reporting period. Count includes 1st and 2nd level appeals only. See Glossary for definition of legal appeals. Metric activated, effective 1/1/2016. <i>IATS</i>
305	Program	Number of program related non-medical inmate appeals received during the reporting period. Totals are taken on the last day of the reporting period. Count includes 1st and 2nd level appeals only. See Glossary for definition of program appeals. Metric activated, effective 1/1/2016. <i>IATS</i>
306	Segregation Hearings	Number of segregation hearing related non-medical inmate appeals received during the reporting period. Totals are taken on the last day of the reporting period. Count includes 1st and 2nd level appeals only. See Glossary for definition of segregation hearing appeals. Metric activated, effective 1/1/2016. <i>IATS</i>
307	Work Incentive	Number of work incentive related inmate appeals received during the reporting period. Totals are taken on the last day of the reporting period. Count includes 1st and 2nd level appeals only. See Glossary for definition of work incentive appeals. Metric activated, effective 1/1/2016. <i>IATS</i>
308	Re-Entry	Number of re-entry related non-medical inmate appeals received during the reporting period. Totals are taken on the last day of the reporting period. Count includes 1st and 2nd level appeals only. See Glossary for definition of re-entry appeals. Metric activated, effective 1/1/2016. <i>IATS</i>



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Inmate Appeal Breakdown

Custody Operations

309	Transfer	Number of transfer related non-medical inmate appeals received during the reporting period. Totals are taken on the last day of the reporting period. Count includes 1st and 2nd level appeals only. See Glossary for a definition of transfer appeals. Metric activated, effective 1/1/2016. <i>IATS</i>
310	Funds	Number of fund related non-medical inmate appeals received during the reporting period. Totals are taken on the last day of the reporting period. Count includes 1st and 2nd level appeals only. See Glossary for a definition of fund appeals. Metric activated, effective 1/1/2016. <i>IATS</i>
311	ADA	Number of ADA related non-medical inmate appeals received during the reporting period. Totals are taken on the last day of the reporting period. Count includes 1st and 2nd level appeals only. See Glossary for a definition of ADA appeals. Metric activated, effective 1/1/2016. <i>IATS</i>
312	1824's	Number of CDCR 1824 - Reasonable Modification or Accommodation Requests received during the reporting period. Totals are taken on the last day of the reporting period. An 1824 is not considered an inmate appeal. 1824's are logged into the Inmate Appeals Tracking System for tracking and reporting purposes only. Metric activated, effective 1/1/2016. <i>IATS</i>

Inmate Appeal Rejection and Cancellation Breakdown

314	Multiple 602-A's - 1st Level	Number of 1st level non-medical inmate appeals rejected during the reporting period, pursuant to the CCR Title 15, Section 3084.6(b)(5). Totals are taken on the last day of the reporting period. Metric activated, effective 1/1/2016. <i>IATS</i>
315	Multiple 602-A's - 2nd Level	Number of 2nd level non-medical inmate appeals rejected during the reporting period, pursuant to the CCR Title 15, Section 3084.6(b)(5). Totals are taken on the last day of the reporting period. Metric activated, effective 1/1/2016. <i>IATS</i>
316	Multiple 602-A's - 3rd Level	Number of 3rd level non-medical inmate appeals rejected during the reporting period, pursuant to the CCR Title 15, Section 3084.6(b)(5). Totals are taken on the last day of the reporting period. Metric activated, effective 1/1/2016. <i>IATS</i>



Division of Adult Institutions

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Inmate Appeal Rejection and Cancellation Breakdown

Custody Operations

317	Lack of Supporting Documents - 1st Level	Number of 1st level non-medical inmate appeals rejected during the reporting period, pursuant to the CCR Title 15, Section 3084.6(b)(7). Totals are taken on the last day of the reporting period. Metric activated, effective 1/1/2016. <i>IATS</i>
318	Lack of Supporting Documents - 2nd Level	Number of 2nd level non-medical inmate appeals rejected during the reporting period, pursuant to the CCR Title 15, Section 3084.6(b)(7). Totals are taken on the last day of the reporting period. Metric activated, effective 1/1/2016. <i>IATS</i>
319	Lack of Supporting Documents - 3rd Level	Number of 3rd level non-medical inmate appeals rejected during the reporting period, pursuant to the CCR Title 15, Section 3084.6(b)(7). Totals are taken on the last day of the reporting period. Metric activated, effective 1/1/2016. <i>IATS</i>
320	Unrelated Documentation - 1st Level	Number of 1st level non-medical inmate appeals rejected during the reporting period, pursuant to the CCR Title 15, Sections, 3084.6(b)(9). Totals are taken on the last day of the reporting period. Metric activated, effective 1/1/2016. <i>IATS</i>
321	Unrelated Documentation - 2nd Level	Number of 2nd level non-medical inmate appeals rejected during the reporting period, pursuant to the CCR Title 15, Sections, 3084.6(b)(9). Totals are taken on the last day of the reporting period. Metric activated, effective 1/1/2016. <i>IATS</i>
322	Unrelated Documentation - 3rd Level	Number of 3rd level non-medical inmate appeals rejected during the reporting period, pursuant to the CCR Title 15, Sections, 3084.6(b)(9). Totals are taken on the last day of the reporting period. Metric activated, effective 1/1/2016. <i>IATS</i>
323	Illegible Appeal - 1st Level	Number of 1st level non-medical inmate appeals rejected during the reporting period, pursuant to the CCR Title 15, Section 3084.6(b)(10). Totals are taken on the last day of the reporting period. See glossary for definition of illegible. Metric activated, effective 1/1/2016. <i>IATS</i>



Division of Adult Institutions

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Inmate Appeal Rejection and Cancellation Breakdown

Custody Operations

324	Illegible Appeal - 2nd Level	Number of 2nd level non-medical inmate appeals rejected during the reporting period, pursuant to the CCR Title 15, Section 3084.6(b)(10). Totals are taken on the last day of the reporting period. See glossary for definition of illegible. Metric activated, effective 1/1/2016. <i>IATS</i>
325	Illegible Appeal - 3rd Level	Number of 3rd level non-medical inmate appeals rejected during the reporting period, pursuant to the CCR Title 15, Section 3084.6(b)(10). Totals are taken on the last day of the reporting period. See glossary for definition of illegible. Metric activated, effective 1/1/2016. <i>IATS</i>
326	Defaced Documents - 1st Level	Number of 1st level non-medical inmate appeals rejected during the reporting period, pursuant to the CCR Title 15, Section 3084.6(b)(11). Totals are taken on the last day of the reporting period. See glossary for definition of defaced. Metric activated, effective 1/1/2016. <i>IATS</i>
327	Defaced Documents - 2nd Level	Number of 2nd level non-medical inmate appeals rejected during the reporting period, pursuant to the CCR Title 15, Section 3084.6(b)(11). Totals are taken on the last day of the reporting period. See glossary for definition of defaced. Metric activated, effective 1/1/2016. <i>IATS</i>
328	Defaced Documents - 3rd Level	Number of 3rd level non-medical inmate appeals rejected during the reporting period, pursuant to the CCR Title 15, Section 3084.6(b)(11). Totals are taken on the last day of the reporting period. See glossary for definition of defaced. Metric activated, effective 1/1/2016. <i>IATS</i>
329	Incomplete Appeal - 1st Level	Number of 1st level non-medical inmate appeals rejected during the reporting period, pursuant to the CCR Title 15, Section 3084.6(b)(13). Totals are taken on the last day of the reporting period. Metric activated, effective 1/1/2016. <i>IATS</i>
330	Incomplete Appeal - 2nd Level	Number of 2nd level non-medical inmate appeals rejected during the reporting period, pursuant to the CCR Title 15, Section 3084.6(b)(13). Totals are taken on the last day of the reporting period. Metric activated, effective 1/1/2016. <i>IATS</i>



Division of Adult Institutions

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Inmate Appeal Rejection and Cancellation Breakdown

Custody Operations

331	Incomplete Appeal - 3rd Level	Number of 3rd level non-medical inmate appeals rejected during the reporting period, pursuant to the CCR Title 15, Section 3084.6(b)(13). Totals are taken on the last day of the reporting period. Metric activated, effective 1/1/2016. <i>IATS</i>
332	Wrong Form - 1st Level	Number of 1st level non-medical inmate appeals rejected during the reporting period, pursuant to the CCR Title 15, Section 3084.6(b)(14). Totals are taken on the last day of the reporting period. Metric activated, effective 1/1/2016. <i>IATS</i>
333	Wrong Form - 2nd Level	Number of 2nd level non-medical inmate appeals rejected during the reporting period, pursuant to the CCR Title 15, Section 3084.6(b)(14). Totals are taken on the last day of the reporting period. Metric activated, effective 1/1/2016. <i>IATS</i>
334	Wrong Form - 3rd Level	Number of 3rd level non-medical inmate appeals rejected during the reporting period, pursuant to the CCR Title 15, Section 3084.6(b)(14). Totals are taken on the last day of the reporting period. Metric activated, effective 1/1/2016. <i>IATS</i>
335	Other Reasons for Rejection - 1st Level	Number of 1st level non-medical inmate appeals rejected during the reporting period, pursuant to the CCR Title 15, Section 3084.6(b)(1), (2), (3), (4), (6), (8), (12), (15), and (16). Totals are taken on the last day of the reporting period. Metric activated, effective 1/1/2016. <i>IATS</i>
336	Other Reasons for Rejection - 2nd Level	Number of 2nd level non-medical inmate appeals rejected during the reporting period, pursuant to the CCR Title 15, Section 3084.6(b)(1), (2), (3), (4), (6), (8), (12), (15), and (16). Totals are taken on the last day of the reporting period. Metric activated, effective 1/1/2016. <i>IATS</i>
337	Other Reasons for Rejection - 3rd Level	Number of 3rd level non-medical inmate appeals rejected during the reporting period, pursuant to the CCR Title 15, Section 3084.6(b)(1), (2), (3), (4), (6), (8), (12), (15), and (16). Totals are taken on the last day of the reporting period. Metric activated, effective 1/1/2016. <i>IATS</i>



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Inmate Appeal Rejection and Cancellation Breakdown

Custody Operations

338	Duplicate Appeal - 1st Level	Number of 1st level non-medical inmate appeals cancelled during the reporting period, pursuant to the CCR Title 15, Section 3084.6(c)(2). Totals are taken on the last day of the reporting period. See Glossary for definition of duplicate appeal. Metric activated, effective 1/1/2016. <i>IATS</i>
339	Duplicate Appeal - 2nd Level	Number of 2nd level non-medical inmate appeals cancelled during the reporting period pursuant to the CCR Title 15, Section 3084.6(c)(2). Totals are taken on the last day of the reporting period. See Glossary for definition of duplicate appeal. Metric activated, effective 1/1/2016. <i>IATS</i>
340	Duplicate Appeal - 3rd Level	Number of 3rd level non-medical inmate appeals cancelled during the reporting period, pursuant to the CCR Title 15, Section 3084.6(c)(2). Totals are taken on the last day of the reporting period. See Glossary for definition of duplicate appeal. Metric activated, effective 1/1/2016. <i>IATS</i>
341	Submittal for Another Person - 1st Level	Number of 1st level non-medical inmate appeals cancelled during the reporting period, pursuant to the CCR Title 15, Sections, 3084.6(c)(5) and (9). Totals are taken on the last day of the reporting period. Metric activated, effective 1/1/2016. <i>IATS</i>
342	Submittal for Another Person - 2nd Level	Number of 2nd level non-medical inmate appeals cancelled during the reporting period, pursuant to the CCR Title 15, Sections, 3084.6(c)(5) and (9). Totals are taken on the last day of the reporting period. Metric activated, effective 1/1/2016. <i>IATS</i>
343	Submittal for Another Person - 3rd Level	Number of 3rd level non-medical inmate appeals cancelled during the reporting period, pursuant to the CCR Title 15, Sections, 3084.6(c)(5) and (9). Totals are taken on the last day of the reporting period. Metric activated, effective 1/1/2016. <i>IATS</i>
344	Time Constraints Not Met - 1st Level	Number of 1st level non-medical inmate appeals cancelled during the reporting period, pursuant to the CCR Title 15, Section 3084.6(c)(4). Totals are taken on the last day of the reporting period. Metric activated, effective 1/1/2016. <i>IATS</i>



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Inmate Appeal Rejection and Cancellation Breakdown

Custody Operations

345	Time Constraints Not Met - 2nd Level	Number of 2nd level non-medical inmate appeals cancelled during the reporting period, pursuant to the CCR Title 15, Section 3084.6(c)(4). Totals are taken on the last day of the reporting period. Metric activated, effective 1/1/2016. <i>IATS</i>
346	Time Constraints Not Met - 3rd Level	Number of 3rd level non-medical inmate appeals cancelled during the reporting period, pursuant to the CCR Title 15, Section 3084.6(c)(4). Totals are taken on the last day of the reporting period. Metric activated, effective 1/1/2016. <i>IATS</i>
347	Failure to Follow Instructions - 1st Level	Number of 1st level non-medical inmate appeals cancelled during the reporting period, pursuant to the CCR Title 15, Section 3084.6(c)(3). Totals are taken on the last day of the reporting period. Metric activated, effective 1/1/2016. <i>IATS</i>
348	Failure to Follow Instructions - 2nd Level	Number of 2nd level non-medical inmate appeals cancelled during the reporting period, pursuant to the CCR Title 15, Section 3084.6(c)(3). Totals are taken on the last day of the reporting period. Metric activated, effective 1/1/2016. <i>IATS</i>
349	Failure to Follow Instructions - 3rd Level	Number of 3rd level non-medical inmate appeals cancelled during the reporting period, pursuant to the CCR Title 15, Section 3084.6(c)(3). Totals are taken on the last day of the reporting period. Metric activated, effective 1/1/2016. <i>IATS</i>
350	Out of CDCR Jurisdiction - 1st Level	Number of 1st level non-medical inmate appeals cancelled during the reporting period, pursuant to the CCR Title 15, Section 3084.6(c)(1). Totals are taken on the last day of the reporting period. See Glossary for definition of out of jurisdiction. Metric activated, effective 1/1/2016. <i>IATS</i>
351	Out of CDCR Jurisdiction - 2nd Level	Number of 2nd level non-medical inmate appeals cancelled during the reporting period, pursuant to the CCR Title 15, Section 3084.6(c)(1). Totals are taken on the last day of the reporting period. See Glossary for definition of out of jurisdiction. Metric activated, effective 1/1/2016. <i>IATS</i>



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Custody Operations

352	Out of CDCR Jurisdiction - 3rd Level	Number of 3rd level non-medical inmate appeals cancelled during the reporting period, pursuant to the CCR Title 15, Section 3084.6(c)(1). Totals are taken on the last day of the reporting period. See Glossary for definition of out of jurisdiction. Metric activated, effective 1/1/2016. <i>IATS</i>
353	Issue Under Appeal Has Been Resolved - 1st Level	Number of 1st level non-medical inmate appeals cancelled during the reporting period, pursuant to the CCR Title 15, Section 3084.6(c)(11). Totals are taken on the last day of the reporting period. Metric activated, effective 1/1/2016. <i>IATS</i>
354	Issue Under Appeal Has Been Resolved - 2nd Level	Number of 2nd level non-medical inmate appeals cancelled during the reporting period, pursuant to the CCR Title 15, Section 3084.6(c)(11). Totals are taken on the last day of the reporting period. Metric activated, effective 1/1/2016. <i>IATS</i>
355	Issue Under Appeal Has Been Resolved - 3rd Level	Number of 3rd level non-medical inmate appeals cancelled during the reporting period, pursuant to the CCR Title 15, Section 3084.6(c)(11). Totals are taken on the last day of the reporting period. Metric activated, effective 1/1/2016. <i>IATS</i>
356	Failure to Interview/Cooperate - 1st Level	Number of 1st level non-medical inmate appeals cancelled during the reporting period, pursuant to the CCR Title 15, Section 3084.6(c)(8). Totals are taken on the last day of the reporting period. Metric activated, effective 1/1/2016. <i>IATS</i>
357	Failure to Interview/Cooperate - 2nd Level	Number of 2nd level non-medical inmate appeals cancelled during the reporting period, pursuant to the CCR Title 15, Section 3084.6(c)(8). Totals are taken on the last day of the reporting period. Metric activated, effective 1/1/2016. <i>IATS</i>
358	Failure to Interview/Cooperate - 3rd Level	Number of 3rd level non-medical inmate appeals cancelled during the reporting period, pursuant to the CCR Title 15, Section 3084.6(c)(8). Totals are taken on the last day of the reporting period. Metric activated, effective 1/1/2016. <i>IATS</i>



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Inmate Appeal Rejection and Cancellation Breakdown

Custody Operations

359	Appellant Deceased - 1st Level	Number of 1st level non-medical inmate appeals cancelled during the reporting period, pursuant to the CCR Title 15, Section 3084.6(c)(7). Totals are taken on the last day of the reporting period. See glossary for definition of deceased. Metric activated, effective 1/1/2016. <i>IATS</i>
360	Appellant Deceased - 2nd Level	Number of 2nd level non-medical inmate appeals cancelled during the reporting period, pursuant to the CCR Title 15, Section 3084.6(c)(7). Totals are taken on the last day of the reporting period. See glossary for definition of deceased. Metric activated, effective 1/1/2016. <i>IATS</i>
361	Appellant Deceased - 3rd Level	Number of 3rd level non-medical inmate appeals cancelled during the reporting period, pursuant to the CCR Title 15, Section 3084.6(c)(7). Totals are taken on the last day of the reporting period. See glossary for definition of deceased. Metric activated, effective 1/1/2016. <i>IATS</i>
362	Appellant/Appeal is Under Advisement or Appeal Restriction - 1st Level	Number of 1st level non-medical inmate appeals under advisement during the reporting period, pursuant to the CCR Title 15, Section 3084.4. Totals are taken on the last day of the reporting period. See glossary for definition of advisement. Metric activated, effective 1/1/2016. <i>IATS</i>
363	Appellant/Appeal is Under Advisement or Appeal Restriction - 2nd Level	Number of 2nd level non-medical inmate appeals under advisement during the reporting period, pursuant to the CCR Title 15, Section 3084.4. Totals are taken on the last day of the reporting period. See glossary for definition of advisement. Metric activated, effective 1/1/2016. <i>IATS</i>
364	Appellant/Appeal is Under Advisement or Appeal Restriction - 3rd Level	Number of 3rd level non-medical inmate appeals under advisement during the reporting period, pursuant to the CCR Title 15, Section 3084.4. Totals are taken on the last day of the reporting period. See glossary for definition of advisement. Metric activated, effective 1/1/2016. <i>IATS</i>
365	Other - 1st Level	Number of 1st level non-medical inmate appeals cancelled, rejected, withdrawn, or screened out during the reporting period, that are not specifically broken out elsewhere in this report. Totals are taken on the last day of the reporting period. Metric activated, effective 1/1/2016. <i>IATS</i>



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Inmate Appeal Rejection and Cancellation Breakdown

Custody Operations

366 Other - 2nd Level Number of 2nd level non-medical inmate appeals cancelled, rejected, withdrawn, or screened out during the reporting period, that are not specifically broken out elsewhere in this report. Totals are taken on the last day of the reporting period.
Metric activated, effective 1/1/2016.
DRP

367 Other - 3rd Level Number of 3rd level non-medical inmate appeals cancelled, rejected, withdrawn, or screened out during the reporting period, that are not specifically broken out elsewhere in this report. Totals are taken on the last day of the reporting period.
Metric activated, effective 1/1/2016.
DRP

Academic Programs - Total Programs

370 Inmate Capacity Previously named Quota, it is defined as the highest total number of inmates who may be assigned/elective to an Education class. Total capacity for each teacher does not fluctuate from month-to-month. Regardless of the number of students assigned/elective to a classroom, the capacity does not change. Any capacity reported that is above or below what was outlined in the new model must be reported to Adult Programs and the Office of Correctional Education with a full justification.
 *Vacant positions also carries a capacity
DRP

371 Inmate Enrollment The number of inmates assigned or enrolled in an academic education program at the end of the month. Mapped from the Education Monthly Report (EMR) Academic Programs-> Student Assignment->Ending Number of Students

 EMR Counting Rule: Number of Students Enrolled + Number of Student Adds - Number of Student Drops
DRP

372 % of Total Capacity Enrolled Formula: $\text{Inmate Enrollment} \div \text{Inmate Capacity} = \text{Percent of Total Capacity Enrolled}$
Formula

Academic Programs - Attendance Tracking

374 Total Hours X-Time EMR Counting Rule: Provided by the institution from the Permanent Class Record (PCR). The amount of time an inmate actively participates in an academic program. Add: Formula (classroom Attendance + Homework Credit)
DRP

375 Total Hours S-Time EMR Counting Rule: Provided by the institution from the Permanent Class Record (PCR). The amount of time an inmate was unable to participate due to circumstances beyond their control.
DRP



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Academic Programs - Attendance Tracking

Programs

376	Total S-Time Non-Education	<p>This is a formula driven line (S-Time Non-Education Medical + S-Time Non-Education Custody).</p> <p><i>Formula</i></p>
377	Total S-Time Non-Education (S-Time Medical)	<p>EMR Counting Rule: S Time Medical: The total number of S-time hours recorded for students that was due to medical. Examples include doctor and dental visits for various ailments and/or checkups</p> <p><i>DRP</i></p>
378	Total S-time Non-Education (S-Time Custody)	<p>EMR Counting Rule: S Time Custody: The total number of S-time hours recorded for students that was due to custody. Examples include but are not limited to late feeding, delay of inmate receiving gate passes, lockdowns, etc...</p> <p><i>DRP</i></p>
379	Total S-Time Education	<p>EMR Counting Rule: The total number of S-time hours recorded for students that was due to Education. Examples include but are not limited to, teacher vacancies, teacher short-term illness or other unanticipated leave use, training that is not mandatory, teacher on a special, acting or out-of-class assignment, etc...</p> <p><i>DRP</i></p>
380	Total E-Time Education	<p>The total number of E-time hours recorded for students out with proper authorization. Examples include but are not limited to attorney visits, parole hearings, etc.</p> <p><i>DRP</i></p>
381	Total A-Time Education	<p>The total number of A-time hours recorded for students not attending class without proper authorization. Any time not in class during designated hours without authorization will be counted as A-time.</p> <p><i>DRP</i></p>

Academic Programs - Outcomes and Completions

383	Number of Program Completions	<p>Suspended until the DRP/DAI Workgroup realigns this section. EMR Counting Rule: Defined as the total number of dropped students that have completed all course work related to the level or program (i.e. Academic: Completion of all course work related to ABE I)</p> <p><i>EMR</i></p>
384	GED Certificate Completion	<p>The number of General Education Development (GED) certificate completions earned during the reporting month. GED certificates can be completed in a variety of Education programs. The certificates can be completed in Academic, Vocational, or Literacy programs. Certificates may have also been completed in a variety of independent study or voluntary programs that have existed historically.</p> <p>Sourced from 'Total No. of GEDs Certificates Earned' on the Education Monthly Report (EMR)</p> <p><i>DRP</i></p>



Division of Adult Institutions

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Academic Programs - Outcomes and Completions

Programs

385 High School Diploma The number of high school diplomas earned in academic programs during the reporting month.
DRP

386 Number of inmates on Academic Waiting List Suspended until the DRP/DAI Workgroup realigns this section. The total number of inmates on the Academic Education Programs waiting list.
EMR

Academic Programs - Programming Eligible Inmate Population

388 Average TABE (OVERALL) Level of Inmates Calculate the average Test for Adult Basic Education (TABE) score of ALL inmates regardless of whether or not they are enrolled in educational programming.
Institution Reported

389 Inmates (Students) TABE Overall Level < 9.0 Suspended until the DRP/DAI Workgroup realigns this section. Enter the number of students assigned at the end of the month with a Test for Adult Basic Education (TABE) Level of 9.0 and below Note: This information is received from the EMR report and is a sum of the following three lines from that report: 1) TABE Scores 3.9, and below; 2) TABE Scores 4.0 - 6.9; and 3) TABE Scores 7.0 - 8.9.
EMR

390 Inmates (Students) TABE Overall Level > 9.0 Suspended until the DRP/DAI Workgroup realigns this section. Enter the number of students assigned at the end of the month with a Test for Adult Basic Education (TABE) Level of 9.0 and above.
EMR

Vocational Programs - Full Time

392 Inmate Capacity Previously named Quota, it is defined as the highest total number of inmates who may be assigned/enrolled to an Education class. Total capacity for each teacher does not fluctuate from month-to-month. Regardless of the number of students assigned/enrolled to a classroom, the capacity does not change. For Vocational Teachers ensure the total Equivalent Capacity is at 27, and can be just Full Time, just Half Time or a combination of both. Notification must be given to the Office of Correctional Education as well as Adult Programs if total equivalent Capacity is above or below 27. *Vacant positions also carries a capacity
DRP

393 Inmate Enrollment The number of full time inmates assigned or enrolled in an vocational education program at the end of the month (formula) No. of students enrolled + no. of students add - no. of student drops
DRP

394 % of Total Capacity Enrolled Formula: Inmate Quota ÷ Inmate Assignments = Percent of Total Quota Enrolled
Formula



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Vocational Programs - Half Time

Programs

396 Inmate Capacity Suspended until the DRP/DAI Workgroup realigns this section. Previously named Quota, it is defined as the highest total number of inmates who may be assigned/enrolled to an Education class. Total capacity for each teacher does not fluctuate from month-to-month. Regardless of the number of students assigned/enrolled to a classroom, the capacity does not change. For Vocational Teachers ensure the total Equivalent Capacity is at 27, and can be just Full Time, just Half Time or a combination of both. Notification must be given to the Office of Correctional Education as well as Adult Programs if total equivalent Capacity is above or below 27.*Vacant positions also carries a capacity

EMR

397 Inmate Enrollment Suspended until the DRP/DAI Workgroup realigns this section. The number of half time inmates assigned or enrolled in an vocational education program at the end of the month (formula) No. of students enrolled + no. of students add - no. of student drops

EMR

398 % of Total Capacity Enrolled Formula: $\text{Inmate Quota} \div \text{Inmate Assignments} = \text{Percent of Total Quota Enrolled}$

Formula

Vocational Programs - Attendance Tracking

400 Total X-Time EMR Countng Rule: The total number of X time hours recorded for students that attends class

DRP

401 Total S-Time EMR Counting Rule: Formula: Addition of S Time Education, S Time Custody and S Time Medical The amount of time an inmate was assigned to a program but was unable to attend due to circumstances beyond their control.

DRP

402 Total S-Time Non-Education This is a formula driven line (S-Time Non-Education Medical + S-Time Non-Education Custody).

Formula

403 Total S-Time Non-Education (S-Time Medical) EMR Counting Rule: The total number of S-time hours recorded for students that was due to medical. Examples include doctor and dental visits for various ailments and/or checkups

DRP

404 Total S-Time Non-Education (S-Time Custody) New Counting Rule: The total number of S-time hours recorded for students that was due to custody. Examples include but are not limited to late feeding, delay of inmate receiving gate passes, lockdowns, etc.

DRP



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Vocational Programs - Attendance Tracking

Programs

405 Total S-Time Education EMR Counting Rule: The total number of S-time hours recorded for students that was due to Education. Examples include but are not limited to, teacher vacancies, teacher short-term illness or other unanticipated leave use, training that is not mandatory, teacher on a special, acting or out-of-class assignment, etc...

DRP

406 Total E-Time Education The total number of E-time hours recorded for students out with proper authorization. Examples include but are not limited to attorney visits, parole hearings, etc.

DRP

407 Total A-Time Education The total number of A-time hours recorded for students not attending class without proper authorization. Any time not in class during designated hours without authorization will be counted as A-time.

DRP

Vocational Programs - Outcomes and Completions

409 Number of Inmates on VOC Waiting List Suspended until the DRP/DAI Workgroup realigns this section. The total number of inmates on the Vocational Education Programs waiting list.

EMR

Student Achievements

411 Component Completions The total number of students who have completed components of a program (Include NCCER and Non-NCCER). Component completions will be reported after the student has completed and successfully passed all requirements of a component of the approved CDCR curricula. See Vocational Appendix for full listing of components. Note: Previously reported as "vocTotalNoNCCERComponentComp" and "vocTotalNoCourseComp"

DRP

412 Program Completions The total number of students who have completed all required components of a program during the reporting month (Include NCCER and Non-NCCER). For example a program completion for Machine Shop would necessitate completion of Quality Control/Machinist Helper, Material Cutter/Power Saw Operator, Drill Press Operator, Lathe Operator, Milling Machine Operator, and Tool Grinder Operator. See Vocational Appendix for a complete listing of each Vocational programs' requirements. Note: Previously reported as "vocTotalNoNCCERProgramComp" and "vocTotalNoProgramComp"

DRP

413 Industry Certifications The total number of students who have obtained an Industry Certification for the reporting month (Include NCCER and Non-NCCER). Certifications are test(s) of skills as sanctioned by an industry recognized organization such as the National Center for Construction Education Research, American Welding Society, etc. See Vocational Appendix for full listing of Industry Certifications that may be obtained.

DRP



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Office of Substance Abuse and Treatment Services (OSATS)

Programs

415	Number of In-Prison Slots (aka SAP Beds)	Enter the number of contracted SAP slots available during the reporting period, based on the last population report of the month. <i>Institution Reported</i>
416	Number of Participants Enrolled (aka SAP Beds Filled)	SAP Participants Assigned - enter the number of inmates assigned to SAP at the end of each reporting period. <i>Institution Reported</i>
417	Enrollment Rate (aka % of SAP Beds Filled)	Formula: The number of SAP participants assigned divided by the number of SAP slots. [Budget Display Act requirement performance measure] <i>Formula</i>
418	Waiting List of Potential SAP Participants	Enter the number of inmates on the SAP waiting list during the reporting period. <i>Institution Reported</i>
419	Exits with Completion	Equals total exits with completion from regular SAP's, as reported by contracted treatment provider. [Budget Display Act requirement performance measure] <i>Institution Reported</i>
420	Program Utilization X-Time	Total number of X Time for OSATS <i>DRP</i>
421	Program Utilization XSEA Total	Total number of XSEA Time <i>DRP</i>
422	Program Utilization Rate (AB900 Benchmark 5)	Program Utilization Rate (AB900 Benchmark 5) <i>Formula</i>



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Inmate Work Assignments

Programs

424	Available Assignments	Formula: Full Time Assignments + Half Time Assignments = Total Available Assignments <i>Formula</i>
425	Full Time	Sum of Full Time Quotas for each Inmate Work Training Incentive Program (IWTIP) code, with the exception of 'Bridging' excluded after 1/1/2009. This data is received from the DDPS (JAWIP) database on the last Friday of the reporting month. This data is a monthly snapshot. <i>DDPS</i>
426	Half Time	Sum of Half Time Quotas for each Inmate Work Training Incentive Program (IWTIP) code, with the exception of 'Bridging' excluded after 1/1/2009. This data is received from the DDPS (JAWIP) database on the last Friday of the reporting month. This data is a monthly snapshot. <i>DDPS</i>
427	Eligible Inmates	Offender's with a work status of FUL, Haf, or UN and a WorkGroup Code is in ('A1', 'A2', 'F', 'B') and the offender is not in 'ASU', 'EOP', 'DMH', 'SEO', or 'RC'. This data is received on the last Friday of the reporting month. This data is a monthly snapshot. <i>DDPS</i>
428	Inmates Assigned	Formula: Full Time Assignments + Total Half Time Assignments = Total Inmates Assigned <i>Formula</i>
429	Full Time	Offenders WorkGroupCode is in ('A1', 'F') AND the offenders WorkStatus = 'FUL' and the offender is not in the 'RC' HousingProgramAssignment. This data is received from the DDPS (JAWIP) database on the last Friday of the reporting month. This data is a monthly snapshot. <i>DDPS</i>
430	Half Time	Formula: One Half Time Assignments + Two Half Time Assignments = Total Half Time Assignments. Offender's WorkGroupCode is in ('A1', 'A2', 'B') and the offender's WorkStatus is 'HAF' and the offender is not in the 'RC' HousingProgramAssignment <i>Formula</i>
431	One Half Time Assignment	above criteria, offenders who are assigned exactly 1 job with the job status of 'HAF' . This data is received from the DDPS (JAWIP) database on the last Friday of the reporting month. This data is a monthly snapshot. <i>DDPS</i>



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Inmate Work Assignments

Programs

432	Two Half Time Assignments	<p>above criteria, offenders who are assigned exactly 2 jobs with the job status of 'HAF' . This data is received from the DDPS (JAWIP) database on the last Friday of the reporting month. This data is a monthly snapshot.</p> <p><i>DDPS</i></p>
433	Vacant Work Assignments	<p>Formula: Difference of: "Available Assignments" - "Inmates Assigned" = Vacant Work Assignments</p> <p><i>Formula</i></p>
434	Percent of Filled Work Assignments	<p>Formula: "Inmates Assigned" ÷ "Available Assignments" = Percent of Filled Work Assignments</p> <p><i>Formula</i></p>
435	Percent of Filled Work Assignments - Full Time	<p>The Percent of Filled Full-Time Work Assignments = Number of Full-Time Assigned Inmates divided by the Number of Full-Time Assignments.</p> <p><i>Formula</i></p>
436	Percentage of Filled Work Assignments - Half-Time	<p>The Percent of Filled Half-Time Work Assignments = Number of inmates with One Half Time Assignment, Plus Two times the number of inmates with two half-time assignments, divided by the Number of Half-Time Assignments available" (One-Half-Time Inmates + (2 * Two-Half-Time Inmates)) / Total Half-Time Assignments.</p> <p><i>Formula</i></p>
437	Inmates Unassigned	<p>Formula: Sum of: "Voluntary" + "Involuntary" = Inmates Unassigned</p> <p><i>Formula</i></p>
438	Voluntary	<p>Offender's PrivilegeGroupCode = 'C' and offender's WorkGroupCode = 'C'. This data is received from the DDPS (JAWIP) database on the last Friday of the reporting month. This data is a monthly snapshot.</p> <p><i>DDPS</i></p>
439	Involuntary	<p>Offender's WorkStatus = 'UN'. This data is received from the DDPS (JAWIP) database on the last Friday of the reporting month. This data is a monthly snapshot.</p> <p><i>DDPS</i></p>
440	Inmates on Waiting List	<p>Enter the number of inmates on a waiting list for placement into a work assignment on the last counting day of the month. This value can be determined by contacting Inmate Assignments and accessing the "Inmate Work/Training Assignments Monthly Report. Determine the total by referring to the section of the report titled "Part B Category Breakdown of Eligible but Unassigned Inmates" and then record the total as listed in the final line "Part B-Total Inmates."</p> <p><i>Institution Reported</i></p>



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Prison Industry Authority

Programs

442	PIA Assignments	<p>This data is received from the DDPS (JAWIP) database on the last Friday of the reporting month. This data is a monthly snapshot.</p> <p><i>DDPS</i></p>
443	PIA Assignments Filled	<p>This data is received from the DDPS (JAWIP) database on the last Friday of the reporting month. This data is a monthly snapshot.</p> <p><i>DDPS</i></p>
444	% of PIA Assignments Filled	<p>Formula: $\text{PIA Assignments Filled} \div \text{PIA Assignments} = \text{Percent of PIA Assignments Filled}$ <p>[Budget Display Act requirement performance measure]</p> <p><i>Formula</i></p> </p>
445	PIA Lost Hours	<p>PIA Lost Hours = 'Custody' Lost Hours + 'Ducats' Lost Hours + 'Industry Related' Lost Hours + 'Other' Lost Hours + 'Vacant' Lost Hours. This data is retrieved from the PIA Headquarters Lost Hours Report.</p> <p>**Please note that SAC/COR's Lost Hours include the following calculations from additional columns on the PIA Headquarter's provided Lost Hours Report:</p> <ul style="list-style-type: none"> •SAC = SAC row + CO row– PIA HQ. •COR = COR row + OTD row. <p><i>Formula</i></p>
446	(Custody) PIA Lost Hours	<p>Custody Lost Hours include: Lockdowns, restricted movement, recalls, CDC 115, disciplinary hearings, confined to quarters, administrative segregation, gate passes/awaiting or pulled and late releases. This data is retrieved from the 'Custody' column on the PIA Lost Hours Report.</p> <p><i>PIA Headquarters Lost Hours Report</i></p>
447	(Ducats) PIA Lost Hours	<p>Ducat Lost Hours include: Medical, ETO time/visits, priority ducats, on the job injury, vocational education break, out to court and lay-in. This data is retrieved from the 'Ducat' column on the PIA Lost Hours Report.</p> <p><i>PIA Headquarters Lost Hours Report</i></p>
448	(Industry Related) PIA Lost Hours	<p>Industry-Related Lost Hours include: Material shortages, orders complete (lack of work), supervisor absence, inventory and power outages/equipment downtime. This data is retrieved from the 'Ind-Rel' column on the PIA Lost Hours Report.</p> <p><i>PIA Headquarters Lost Hours Report</i></p>



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Prison Industry Authority

Programs

449	(Other) PIA Lost Hours	<p>Other Lost Hours include: Classification hearings, unexcused absence, inclement weather(fog & heat), inappropriate clothing and other. This data is retrieved from the 'Other' column on the PIA Lost Hours Report.</p> <p><i>PIA Headquarters Lost Hours Report</i></p>
450	(Vacant) PIA Lost Hours	<p>Vacant Lost Hours: Amount of lost hours due to vacant inmate positions. This data is retrieved from the 'Vacant' column on the PIA Lost Hours Report.</p> <p><i>PIA Headquarters Lost Hours Report</i></p>
College Programs (unfunded)		
452	Number of inmates enrolled in College Courses	<p>Suspended until the DRP/DAI Workgroup realigns this section. The number of inmates enrolled in college courses (unduplicated).</p> <p><i>EMR</i></p>
453	Course Completions	<p>Suspended until the DRP/DAI Workgroup realigns this section. The number of courses completed by inmates.</p> <p><i>EMR</i></p>
454	No. of Units/Credits Earned (total)	<p>Suspended until the DRP/DAI Workgroup realigns this section. The number of college credits earned.</p> <p><i>EMR</i></p>
455	No. of Associate Degrees	<p>Suspended until the DRP/DAI Workgroup realigns this section. The number of Associate degrees earned.</p> <p><i>EMR</i></p>
456	No. of Bachelor Degrees	<p>Suspended until the DRP/DAI Workgroup realigns this section. The number of Bachelor degrees earned.</p> <p><i>EMR</i></p>
457	No. of Master Degrees	<p>Suspended until the DRP/DAI Workgroup realigns this section. The number of Master degrees earned.</p> <p><i>EMR</i></p>
458	No. of College Course Assessments	<p>Suspended until the DRP/DAI Workgroup realigns this section. The number of College course assessments.</p> <p><i>EMR</i></p>
Inmate Leisure Time Activity Groups (ILTAG)		
460	Total Number of ILTAG Groups	<p>Enter the total number of ILTAG groups at the institution that "meet" the criteria outlined in the CCR Title 15 section 3233. This should be the total number of groups regardless of whether they meet during the reporting month.</p> <p><i>Institution Reported</i></p>



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Programs

Inmate Leisure Time Activity Groups (ILTAG)

461 Total Number of Meetings Held Formula:
Sum of: "AA" + "NA" + "Veteran's" + "Other" = Total Number of Meetings Held
Formula

462 Total Number of Attendees (for all meetings held) Formula:
Sum of: "AA" + "NA" + "Veteran's" + "Other" = Total Number of Attendees
Formula

463 Total Number of Contact Hours (for all meetings held) Formula:
Sum of Contact Hours for: "AA" + "NA" + "Veteran's" + "Other" = Total Number of Contact Hours
Formula

Alcoholics Anonymous (AA)

465 Total Number of Meetings Held Enter the total number of meetings held during the reporting period. Count only meetings held NOT those scheduled.
Institution Reported

466 Total Number of Attendees Enter the total number of AA meeting attendees as of the last day of the monthly reporting period. **Count each inmate every time the program meets. Multiple counts of inmates will occur.
Institution Reported

467 Total Meeting Hours Enter the total meeting hours for this ILTAG group. Sum the total number of hours this group met for the month. If there were 4 meetings at 2 hours each, you would enter 8 Total Meeting Hours. If the meeting hours varied for the month, add them together, i.e. Meeting A = 1.5 hours, Meeting B = 2.0 hours and Meeting C = 3.0 hours. For this example, summed, the Total Meeting Hours would then be reported here as 6.5.
Institution Reported

468 Contact Hours This is a formula driven line based on the following: Total AA Group monthly meeting attendees x Total meeting hours = Total Contact Hours.
Formula

Narcotics Anonymous (NA)

470 Total Number of Meetings Held Enter the total number of meetings held during the reporting period. Count only meetings held NOT those scheduled.
Institution Reported

471 Total Number of Attendees Enter the total number of NA meeting attendees as of the last day of the monthly reporting period. **Count each inmate every time the program meets. Multiple counts of inmates will occur.
Institution Reported



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Narcotics Anonymous (NA)

Programs

472 Total Meeting Hours Enter the total meeting hours for this ILTAG group. Sum the total number of hours this group met for the month. If there were 4 meetings at 2 hours each, you would enter 8 Total Meeting Hours. If the meeting hours varied for the month, add them together, i.e. Meeting A = 1.5 hours, Meeting B = 2.0 hours and Meeting C = 3.0 hours. For this example, summed, the Total Meeting Hours would then be reported here as 6.5.
Institution Reported

473 Contact Hours This is a formula driven line based on the following: Total NA monthly meeting attendees x Total meeting hours = Total Contact Hours.
Formula

Veteran's Groups

475 Total Number of Meetings Held Enter the total number of meetings held during the reporting period. Count only meetings held NOT those scheduled.
Institution Reported

476 Total Number of Attendees Enter the total number of Veteran's Groups meeting attendees as of the last day of the monthly reporting period. **Count each inmate every time the program meets. Multiple counts of inmates will occur.
Institution Reported

477 Total Meeting Hours Enter the total meeting hours for this ILTAG group. Sum the total number of hours this group met for the month. If there were 4 meetings at 2 hours each, you would enter 8 Total Meeting Hours. If the meeting hours varied for the month, add them together, i.e. Meeting A = 1.5 hours, Meeting B = 2.0 hours and Meeting C = 3.0 hours. For this example, summed, the Total Meeting Hours would then be reported here as 6.5.
Institution Reported

478 Contact Hours This is a formula driven line based on the following: Total Veteran's Group monthly meeting attendees x Total meeting hours = Total Contact Hours.
Formula

All Other ILTAG's

480 Total Number of Meetings Held Enter the total number of meetings held during the reporting period. Count only meetings held NOT those scheduled.
Institution Reported

481 Total Number of Attendees Enter the total number of Other ILTAG meeting attendees as of the last day of the monthly reporting period. **Count each inmate every time the program meets. Multiple counts of inmates will occur.
Institution Reported



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All Other ILTAG's

Programs

482 Total Meeting Hours Enter the total meeting hours for this ILTAG group. Sum the total number of hours this group met for the month. If there were 4 meetings at 2 hours each, you would enter 8 Total Meeting Hours. If the meeting hours varied for the month, add them together, i.e. Meeting A = 1.5 hours, Meeting B = 2.0 hours and Meeting C = 3.0 hours. For this example, summed, the Total Meeting Hours would then be reported here as 6.5.

Institution Reported

483 Contact Hours This is a formula driven line based on the following: Total 'Other' Group monthly meeting attendees x Total meeting hours = Total Contact Hours.

Formula

Budget Allotment (Program 25)

Administration

486 Allotment SUSPENDED UNTIL ADMINISTRATIVE WORKGROUP REALIGNS THIS SECTION. This represents the Program 25 monthly Net Total under the Budget column, taken from the CDCR Business Intelligence (BI) Budget Report in BIS. The CDCR BI Budget Report is accessed through the following sequence of tabs: Financial Reporting / Budget Reporting / Display CDCR BI Budget Report. Note: This data is calculated as a Fiscal Year to Date (FYTD) total, and represents the last months Budget Allotment plus any additional Budget Allotments that may be received during the reporting month.

BIS

487 Surplus Deficit SUSPENDED UNTIL ADMINISTRATIVE WORKGROUP REALIGNS THIS SECTION. This represents the Program 25 monthly Net Total under the Remaining Budget column, taken from the CDCR Business Intelligence (BI) Budget Report in BIS. The CDCR BI Budget Report is accessed through the following sequence of tabs: Financial Reporting / Budget Reporting / Display CDCR BI Budget Report. Note: This data is calculated as the Budget Allotment minus ("YTD Actuals" + "Encumbrances"). Surpluses are indicated as a positive number, and deficits are indicated as a negative number.

BIS

Budget Allotment (Program 45)

489 Allotment SUSPENDED UNTIL ADMINISTRATIVE WORKGROUP REALIGNS THIS SECTION. This represents the Program 45 monthly Net Total under the Budget column, taken from the CDCR Business Intelligence (BI) Budget Report in BIS. The CDCR BI Budget Report is accessed through the following sequence of tabs: Financial Reporting / Budget Reporting / Display CDCR BI Budget Report. Note: This data is calculated as a Fiscal Year to Date (FYTD) total, and represents the last months Budget Allotment plus any additional Budget Allotments that may be received during the reporting month.

BIS

490 Surplus Deficit SUSPENDED UNTIL ADMINISTRATIVE WORKGROUP REALIGNS THIS SECTION. This represents the Program 45 monthly Net Total under the Remaining Budget column, taken from the CDCR Business Intelligence (BI) Budget Report in BIS. The CDCR BI Budget Report is accessed through the following sequence of tabs: Financial Reporting / Budget Reporting / Display CDCR BI Budget Report. Note: This data is calculated as the Budget Allotment minus ("YTD Actuals" + "Encumbrances"). Surpluses are indicated as a positive number, and deficits are indicated as a negative number.

BIS



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Personnel Vacancies (Category)

Administration

492 Total of all personnel in filled positions. Formula: Sum of: Filled Budgeted Positions ("Custody" + "Other Custody" + "Education" + "Support Staff" + "Trades" + "Management") = Total of all Personnel in Filled Positions.
**Excludes Medical Personnel.

Formula

Custody (Uniformed)

494 Budgeted Positions Enter the number of budgeted Custody positions (Uniformed) with Class Codes 9662 (Correctional Officer), 9659 (Correctional Sergeant), 9656 (Correctional Lieutenant), 8976 (Fire Chief), and 9001 (Fire Captain); of CBID's R06, S06, U06 and E06, during the reporting period.

Institution Reported

495 Pending 607's - Net This reflects the number of positions from pending 607 activity not currently reflected on the SCO Tab. This information will be reported by Personnel, Personnel Assignments or the Budget Analyst. A positive number reflects establishing positions and a negative number reflects abolishing positions.
Note: Pending 607's are not automatically calculated into the next month's Budgeted Positions total since each month is an independent snapshot "in time". Pending 607's should be monitored continuously, and reconciled monthly by referencing the SCO Tab, and most recent Budget Management Branch Position Recap.

Institution Reported

496 Adjusted Budgeted Total Formula: Sum of: "Budgeted Positions" + "Pending 607's - Net" = Adjusted Budgeted Positions

Formula

497 Filled Budgeted Positions Enter the number of filled budgeted Custody positions (Uniformed) with Class Codes 9662 (Correctional Officer), 9659 (Correctional Sergeant), 9656 (Correctional Lieutenant), 8976 (Fire Chief), and 9001 (Fire Captain); of CBID's R06, S06, U06 and E06, during the reporting period.

Institution Reported

498 920 Blanket Count the staff in the 920 Blanket that are included in the total number of staff for vacancy reporting purposes. 920 Blanket refers to those staff on Long Term Sick status.

Institution Reported

499 918 Blanket Count the staff in the 918 Blanket that are included in the total number of staff for vacancy reporting purposes. 918 Blanket refers to those staff who are being paid when there is not an authorizing position.

Institution Reported

500 True Vacancy Total Formula:
Difference of: "Adjusted Budgeted Total" - ("Filled Budgeted Positions" + "920 Blanketed Positions" + "918 Blanketed Positions") = True Vacancy Total

Formula



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Custody (Uniformed)

Administration

501 902 Blanket Display Purpose Only - (Not factored into formula). Count the staff in the 902 Blanket. 902 Blanket refers to those staff who are being paid as temporary employees.
Institution Reported

502 916 Blanket Display Purpose Only - (Not factored into formula). Count the staff in the 916 Blanket. 916 Blanket refers to retired annuitant staff.
Institution Reported

503 Number of "Other" Type of Leave Display Purpose Only - (Not factored into formula). Other off pay status employees in established positions. Examples include Long Term Sick employees still in their budgeted position numbers and employees out on Military Leave still in their budgeted position numbers, etc. This information will be reported by Personnel, Personnel Assignment or the Budget Analyst.
Institution Reported

Other Custody (Non-Uniformed)

505 Budgeted Positions Enter the number of budgeted Custody positions (Non-Uniformed) with remaining CBID's R06, S06, U06 and E06, excluding the above identified uniformed custody staff, during the reporting period.
Institution Reported

506 Pending 607's - Net This reflects the number of positions from pending 607 activity not currently reflected on the SCO Tab. This information will be reported by Personnel, Personnel Assignments or the Budget Analyst. A positive number reflects establishing positions and a negative number reflects abolishing positions.

Note: Pending 607's are not automatically calculated into the next month's Budgeted Positions total since each month is an independent snapshot "in time". Pending 607's should be monitored continuously, and reconciled monthly by referencing the SCO Tab, and most recent Budget Management Branch Position Recap.
Institution Reported

507 Adjusted Budgeted Total Formula: Sum of: "Budgeted Positions" + "Pending 607's - Net" = Adjusted Budgeted Positions
Formula

508 Filled Budgeted Positions Enter the number of filled budgeted Custody positions (Non-Uniformed) with remaining CBID's R06, S06, U06 and E06, excluding the above identified uniformed custody staff, during the reporting period.
Institution Reported

509 920 Blanket Count the staff in the 920 Blanket that are included in the total number of staff for vacancy reporting purposes. 920 Blanket refers to those staff on Long Term Sick status.
Institution Reported



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Other Custody (Non-Uniformed)

Administration

510 918 Blanket Count the staff in the 918 Blanket that are included in the total number of staff for vacancy reporting purposes. 918 Blanket refers to those staff who are being paid when there is not an authorizing position.

Institution Reported

511 True Vacancy Total Formula: Difference of: "Adjusted Budgeted Total" - ("Filled Budgeted Positions" + "920 Blanketed Positions" + "918 Blanketed Positions") = True Vacancy Total

Formula

512 902 Blanket Display Purpose Only - (Not factored into formula). Count the staff in the 902 Blanket. 902 Blanket refers to those staff who are being paid as temporary employees.

Institution Reported

513 916 Blanket Display Purpose Only - (Not factored into formula). Count the staff in the 916 Blanket. 916 Blanket refers to retired annuitant staff.

Institution Reported

514 Number of "Other" Type of Leave Display Purpose Only - (Not factored into formula). Other off pay status employees in established positions. Examples include Long Term Sick employees still in their budgeted position numbers and employees out on Military Leave still in their budgeted position numbers, etc. This information will be reported by Personnel, Personnel Assignment or the Budget Analyst.

Institution Reported

Education Positions

516 Budgeted Positions Enter the number of all budgeted positions in Education, as well as Managers and Support Staff.

Institution Reported

517 Pending 607's - Net This reflects the number of positions from pending 607 activity not currently reflected on the SCO Tab. This information will be reported by Personnel, Personnel Assignments or the Budget Analyst. A positive number reflects establishing positions and a negative number reflects abolishing positions.

Note: Pending 607's are not automatically calculated into the next month's Budgeted Positions total since each month is an independent snapshot "in time". Pending 607's should be monitored continuously, and reconciled monthly by referencing the SCO Tab, and most recent Budget Management Branch Position Recap.

Institution Reported

518 Adjusted Budgeted Total Formula: Sum of: "Budgeted Positions" + "Pending 607's - Net" = Adjusted Budgeted Positions

Formula



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Education Positions

Administration

519	Filled Budgeted Positions	Enter the number of all filled budgeted positions in Education, as well as Managers and Support Staff. <i>Institution Reported</i>
520	920 Blanket	Count the staff in the 920 Blanket that are included in the total number of staff for vacancy reporting purposes. 920 Blanket refers to those staff on Long Term Sick status. <i>Institution Reported</i>
521	918 Blanket	Count the staff in the 918 Blanket that are included in the total number of staff for vacancy reporting purposes. 918 Blanket refers to those staff who are being paid when there is not an authorizing position. <i>Institution Reported</i>
522	True Vacancy Total	Formula: Difference of: "Adjusted Budgeted Total" - ("Filled Budgeted Positions" + "920 Blanketed Positions" + "918 Blanketed Positions") = True Vacancy Total <i>Formula</i>
523	902 Blanket	Display Purpose Only - (Not factored into formula). Count the staff in the 902 Blanket. 902 Blanket refers to those staff who are being paid as temporary employees. <i>Institution Reported</i>
524	916 Blanket	Display Purpose Only - (Not factored into formula). Count the staff in the 916 Blanket. 916 Blanket refers to retired annuitant staff. <i>Institution Reported</i>
525	Number of "Other" Type of Leave	Display Purpose Only - (Not factored into formula). Other off pay status employees in established positions. Examples include Long Term Sick employees still in their budgeted position numbers and employees out on Military Leave still in their budgeted position numbers, etc. This information will be reported by Personnel, Personnel Assignment or the Budget Analyst. <i>Institution Reported</i>

Support Staff

527	Budgeted Positions	Enter the number of all Support Staff budgeted positions, excluding Support Staff positions from Medical and Education. A clarification to the Jan 2011 decision to switch to self-reported data noted that certain classifications should be counted as Support Staff even if their bargaining unit would normally include them in another category. Chaplains (Catholic Chaplain, Jewish Chaplain, Muslim Chaplain, Native American Spirit Leader, Protestant Chaplain) will be categorized as Support Staff rather than Medical staff. Support Services Assistant (Interpreter) will be categorized as Support staff rather than Medical staff. <i>Institution Reported</i>
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Division of Adult Institutions

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Support Staff

Administration

528	Pending 607's - Net	<p>This reflects the number of positions from pending 607 activity not currently reflected on the SCO Tab. This information will be reported by Personnel, Personnel Assignments or the Budget Analyst. A positive number reflects establishing positions and a negative number reflects abolishing positions.</p> <p>Note: Pending 607's are not automatically calculated into the next month's Budgeted Positions total since each month is an independent snapshot "in time". Pending 607's should be monitored continuously, and reconciled monthly by referencing the SCO Tab, and most recent Budget Management Branch Position Recap.</p> <p><i>Institution Reported</i></p>
529	Adjusted Budgeted Total	<p>Formula: Sum of: "Budgeted Positions" + "Pending 607's - Net" = Adjusted Budgeted Positions</p> <p><i>Formula</i></p>
530	Filled Budgeted Positions	<p>Enter the number of all filled Support Staff budgeted positions, excluding Support Staff positions from Medical and Education.</p> <p><i>Institution Reported</i></p>
531	920 Blanket	<p>Count the staff in the 920 Blanket that are included in the total number of staff for vacancy reporting purposes. 920 Blanket refers to those staff on Long Term Sick status.</p> <p><i>Institution Reported</i></p>
532	918 Blanket	<p>Count the staff in the 918 Blanket that are included in the total number of staff for vacancy reporting purposes. 918 Blanket refers to those staff who are being paid when there is not an authorizing position.</p> <p><i>Institution Reported</i></p>
533	True Vacancy Total	<p>Formula: Difference of: "Adjusted Budgeted Total" - ("Filled Budgeted Positions" + "920 Blanketed Positions" + "918 Blanketed Positions") = True Vacancy Total</p> <p><i>Formula</i></p>
534	902 Blanket	<p>Display Purpose Only - (Not factored into formula). Count the staff in the 902 Blanket. 902 Blanket refers to those staff who are being paid as temporary employees.</p> <p><i>Institution Reported</i></p>
535	916 Blanket	<p>Display Purpose Only - (Not factored into formula). Count the staff in the 916 Blanket. 916 Blanket refers to retired annuitant staff.</p> <p><i>Institution Reported</i></p>



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Support Staff

Administration

536 **Number of "Other" Type of Leave** Display Purpose Only - (Not factored into formula). Other off pay status employees in established positions. Examples include Long Term Sick employees still in their budgeted position numbers and employees out on Military Leave still in their budgeted position numbers, etc. This information will be reported by Personnel, Personnel Assignment or the Budget Analyst.

Institution Reported

Trades

538 **Budgeted Positions** Enter the number of all budgeted positions in Trades (examples include: electricians, painters, plumbers, stationary engineers etc.).

Institution Reported

539 **Pending 607's - Net** This reflects the number of positions from pending 607 activity not currently reflected on the SCO Tab. This information will be reported by Personnel, Personnel Assignments or the Budget Analyst. A positive number reflects establishing positions and a negative number reflects abolishing positions.

Note: Pending 607's are not automatically calculated into the next month's Budgeted Positions total since each month is an independent snapshot "in time". Pending 607's should be monitored continuously, and reconciled monthly by referencing the SCO Tab, and most recent Budget Management Branch Position Recap.

Institution Reported

540 **Adjusted Budgeted Total** Formula: Sum of: "Budgeted Positions" + "Pending 607's - Net" = Adjusted Budgeted Positions

Formula

541 **Filled Budgeted Positions** Enter the number of all filled budgeted positions in Trades (examples include: electricians, painters, plumbers, stationary engineers etc.).

Institution Reported

542 **920 Blanket** Count the staff in the 920 Blanket that are included in the total number of staff for vacancy reporting purposes. 920 Blanket refers to those staff on Long Term Sick status.

Institution Reported

543 **918 Blanket** Count the staff in the 918 Blanket that are included in the total number of staff for vacancy reporting purposes. 918 Blanket refers to those staff who are being paid when there is not an authorizing position.

Institution Reported

544 **True Vacancy Total** Formula: Difference of: "Adjusted Budgeted Total" - ("Filled Budgeted Positions" + "920 Blanketed Positions" + "918 Blanketed Positions") = True Vacancy Total

Formula



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Trades

Administration

545 **902 Blanket** Display Purpose Only - (Not factored into formula). Count the staff in the 902 Blanket. 902 Blanket refers to those staff who are being paid as temporary employees.
Institution Reported

546 **916 Blanket** Display Purpose Only - (Not factored into formula). Count the staff in the 916 Blanket. 916 Blanket refers to retired annuitant staff.
Institution Reported

547 **Number of "Other" Type of Leave** Display Purpose Only - (Not factored into formula). Other off pay status employees in established positions. Examples include Long Term Sick employees still in their budgeted position numbers and employees out on Military Leave still in their budgeted position numbers, etc. This information will be reported by Personnel, Personnel Assignment or the Budget Analyst.
Institution Reported

Management

549 **Budgeted Positions** Enter the number of all budgeted positions in Management, excluding Management positions in Medical and Education.
Institution Reported

550 **Pending 607's - Net** This reflects the number of positions from pending 607 activity not currently reflected on the SCO Tab. This information will be reported by Personnel, Personnel Assignments or the Budget Analyst. A positive number reflects establishing positions and a negative number reflects abolishing positions.

Note: Pending 607's are not automatically calculated into the next month's Budgeted Positions total since each month is an independent snapshot "in time". Pending 607's should be monitored continuously, and reconciled monthly by referencing the SCO Tab, and most recent Budget Management Branch Position Recap.
Institution Reported

551 **Adjusted Budgeted Total** Formula: Sum of: "Budgeted Positions" + "Pending 607's - Net" = Adjusted Budgeted Positions
Formula

552 **Filled Budgeted Positions** Enter the number of all filled budgeted positions in Management, excluding Management positions in Medical and Education.
Institution Reported

553 **920 Blanket** Count the staff in the 920 Blanket that are included in the total number of staff for vacancy reporting purposes. 920 Blanket refers to those staff on Long Term Sick status.
Institution Reported



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Management

Administration

554 918 Blanket Count the staff in the 918 Blanket that are included in the total number of staff for vacancy reporting purposes. 918 Blanket refers to those staff who are being paid when there is not an authorizing position.

Institution Reported

555 True Vacancy Total Formula: Difference of: "Adjusted Budgeted Total" - ("Filled Budgeted Positions" + "920 Blanketed Positions" + "918 Blanketed Positions") = True Vacancy Total

Formula

556 902 Blanket Display Purpose Only - (Not factored into formula). Count the staff in the 902 Blanket. 902 Blanket refers to those staff who are being paid as temporary employees.

Institution Reported

557 916 Blanket Display Purpose Only - (Not factored into formula). Count the staff in the 916 Blanket. 916 Blanket refers to retired annuitant staff.

Institution Reported

558 Number of "Other" Type of Leave Display Purpose Only - (Not factored into formula). Other off pay status employees in established positions. Examples include Long Term Sick employees still in their budgeted position numbers and employees out on Military Leave still in their budgeted position numbers, etc. This information will be reported by Personnel, Personnel Assignment or the Budget Analyst.

Institution Reported

Overtime

560 Total "Custody" + "Non-Custody" + "Medical" = Total Overtime

Note: Staff working a modified work period under Section 7K of the Fair Labor Standards Act (FLSA) at times will have 2 work periods paid during a single calendar month. When this occurs, overtime for Custody positions (BU6) for all institutions will tend to approximate double a usual month.

(Note2: This occurred in June 2008, May 2009, and in May 2010.)

Formula

561 AVG Hours Per Custody Staff Formula:
Sum of: "Total Custody Overtime" ÷ sum of "Custody (Uniformed) Filled Budgeted Positions" and "Other Custody (Non-Uniformed) Filled Budgeted Positions" = Average Hours Per Custody Staff.

Formula



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Overtime

Administration

562	Custody	<p>Formula: Sum of: "Custody" + "Other Custody" = Total Overtime Note: Staff working a modified work period under Section 7K of the Fair Labor Standards Act (FLSA) at times will have 2 work periods paid during a single calendar month. When this occurs, overtime for Custody positions (BU6) for all institutions will tend to approximate double a usual month. This occurred in June 2008, May 2009, and will occur in June 2010.</p> <p><i>Formula</i></p>
563	Lieutenants (Classification Code = 9656 & CBID =S06)	<p>The total number of overtime hours paid for the lieutenants during the reporting period. The overtime paid through Blanked Fund Serial# = '901', pay for regular overtime, and Type Pay = 'Overtime'. Data is received the week following the 23rd of each month for the previous month's data (after SCO has consolidated the Sick Leave data).</p> <p><i>MIRS</i></p>
564	Sergeants (Classification Code = 9659 & CBID =S06)	<p>The total number of overtime hours paid for the sergeants during the reporting period. The overtime paid through Blanked Fund Serial# = '901', pay for regular overtime, and Type Pay = 'Overtime'. Data is received the week following the 23rd of each month for the previous month's data (after SCO has consolidated the Sick Leave data).</p> <p><i>MIRS</i></p>
565	Officers (Classification Code = 9662 & CBID =R06)	<p>The total number of overtime hours paid for the officers during the reporting period. The overtime paid through Blanked Fund Serial# = '901', pay for regular overtime, and Type Pay = 'Overtime'. Data is received the week following the 23rd of each month for the previous month's data (after SCO has consolidated the Sick Leave data).</p> <p><i>MIRS</i></p>
566	Other Custody (CBID = 'R/S06' but exclude Classification codes: 9656, 9659, and 9662)	<p>The total number of overtime hours paid for the other custody staff during the reporting period. The overtime paid through Blanked Fund Serial# = '901', pay for regular overtime, and Type Pay = 'Overtime'. Data is received the week following the 23rd of each month for the previous month's data (after SCO has consolidated the Sick Leave data).</p> <p><i>MIRS</i></p>
567	AVG Hours Per Non-Custody Staff	<p>Formula: Sum of: "Total Non-Custody Overtime" ÷ sum of "Non-Custody Filled Budgeted Positions" = Average Hours Per Non-Custody Staff. This EXCLUDES Medical Positions.</p> <p><i>Formula</i></p>
568	Non-Custody	<p>The total number of overtime hours paid for the Non-Custody staff (all bargaining units except Unit 06 & Medical staff) during the reporting period. The overtime paid through Blanked Fund Serial# = '901', pay for regular overtime, and Type Pay = 'Overtime'. Data is received the week following the 20th of the month for the previous month's data.</p> <p><i>MIRS</i></p>



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Overtime

Administration

569	Medical	<p>The total number of overtime hours paid for Medical staff in Units 213, 214, 220, and 221 during the reporting period. The overtime paid through Blanked Fund Serial# = '901', pay for regular overtime, and Type Pay = 'Overtime'. Data is received the week following the 20th of the month for the previous month's data.</p> <p>MIRS</p>
Sick Leave		
571	Total Hours	<p>Formula: Sum of: "Custody" + "Non-Custody" + "Medical" = Total Sick Leave</p> <p>Formula</p>
572	AVG Hours Per Custody Staff	<p>Formula: Sum of: "Total Sick Leave Hours" ÷ sum of "Custody (Uniformed) Filled Budgeted Positions" and "Other Custody (Non-Uniformed) Filled Budgeted Positions" = Average Sick Leave Hours Per Custody Staff."</p> <p>Formula</p>
573	Custody	<p>Formula: Sum of: "Lieutenants" + "Sergeants" + "Officers" + "Other Custody" = Custody Sick Leave, excluding those in the 920 blanket.</p> <p>Formula</p>
574	Lieutenants (Classification Code = 9656 & CBID =S06)	<p>The total number of 'Sick Leave', Leave Type = 'SL' with Codes '01' and / or '02', hours used by the lieutenants during the reporting period, excluding those in the 920 blanket. Data is received the week following the 23rd of each month for the previous month's data (after SCO has consolidated the Sick Leave data).</p> <p>MIRS</p>
575	Sergeants (Classification Code = 9659 & CBID =S06)	<p>The total number of 'Sick Leave', Leave Type = 'SL' with Codes '01' and / or '02', hours used by the sergeants during the reporting period, excluding those in the 920 blanket. Data is received the week following the 23rd of each month for the previous month's data (after SCO has consolidated the Sick Leave data).</p> <p>MIRS</p>
576	Officers (Classification Code = 9662 & CBID = R06)	<p>The total number of 'Sick Leave', Leave Type = 'SL' with Codes '01' and / or '02', hours used by the officers during the reporting period, excluding those in the 920 blanket. Data is received the week following the 23rd of each month for the previous month's data (after SCO has consolidated the Sick Leave data).</p> <p>MIRS</p>
577	Other Custody (CBID = 'R/S06' but exclude Classification codes: 9656, 9659, and 9662)	<p>The total number of 'Sick Leave', Leave Type = 'SL' with Codes '01' and / or '02', hours used by the other custody classifications staff during the reporting period, excluding those in the 920 blanket. Data is received the week following the 23rd of each month for the previous month's data (after SCO has consolidated the Sick Leave data).</p> <p>MIRS</p>



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Sick Leave

Administration

578 **AVG Hours Per Non-Custody Staff** Formula:
 Sum of: "Total Non-Custody Sick Leave" ÷ sum of "Non-Custody Filled Budgeted Positions" = Average Sick Leave Hours Per Non-Custody Staff. This EXCLUDES Medical Positions.
Formula

579 **Non-Custody** The total number of 'Sick Leave', Leave Type = 'SL' with Codes '01' and / or '02', hours used by Non-Custody staff (all bargaining units except Union 06 & Medical staff) during the reporting period, excluding those in the 920 blanket. Data is received the week following the 23rd of each month for the previous month's data (after SCO has consolidated the Sick Leave data).
MIRS

580 **Medical** The total number of "Sick Leave", Leave Type = 'SL' with Codes '01' and / or '02', used by Medical staff in Units 213, 214, 220, & 221 during the reporting period. Data is received the week following the 23rd of each month for the previous month's data (after SCO has consolidated the Sick Leave data).
MIRS

Sick Leave Management

582 **Letter of Instruction - Sick Leave (1st Letter) (R06 and S06 Staff Only)** Please provide the number of first time Letters of Instruction (LOI) issued to employees for the reporting month. This is the first LOI that the employee has received for violation of the Sick Leave Policy.
Institution Reported

583 **Letter of Instruction - Sick Leave (2nd Letter) (R06 and S06 Staff Only)** Please provide the number of subsequent Letters of Instruction (LOI) issued to employees for the reporting month. This is any subsequent LOI issued the employee for the reporting month. These are second and third LOI's that the employee has received for violations of the Sick Leave Policy.
Institution Reported

584 **Adverse Actions for Sick Leave (R06 and S06 Staff Only)** Please provide the number of Adverse Actions issued to employees for the reporting month. These are Adverse Actions that the employee has received for violation of the Sick Leave Policy.
Institution Reported

585 **Staff Exceeding Trigger Point** This includes staff who are identified on the sick leave review list who have exceeded the number of yearly allotted sick leave days, (minus protected leave: FMLA, IDL, CIFRA, and pregnancy leave). This information is based on twelve month WOTS Rainbow Reports, and can be provided by the Institution's Watch Office Tracking System Coordinator.
Institution Reported

In-Lieu of Sick Leave

587 **Total Hours** Formula:
 Sum of: "Custody" + "Non-Custody" + "Medical" = Total Sick Leave
Formula



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In-Lieu of Sick Leave

Administration

588	AVG Hours Per Custody Staff	Formula: Sum of: "Total In-Lieu of Sick Leave Hours" ÷ sum of "Custody (Uniformed) Filled Budgeted Positions" and "Other Custody (Non-Uniformed) Filled Budgeted Positions" = Average In-Lieu of Sick Leave Hours Per Custody Staff." <i>Formula</i>
589	Custody	Formula: Sum of: "Lieutenants" + "Sergeants" + "Officers" + "Other Custody" = Custody Sick Leave <i>Formula</i>
590	Lieutenants (Classification Code = 9656 & CBID =S06)	The total number of 'In-Lieu of Sick Leave', Leave Type = 'SL' with Codes '04' and / or '71', hours used by the lieutenants during the reporting period, excluding those in the 920 blanket. Data is received the week following the 23rd of each month for the previous month's data (after SCO has consolidated the Sick Leave data). <i>MIRS</i>
591	Sergeants (Classification Code = 9659 & CBID =S06)	The total number of 'In-Lieu of Sick Leave', Leave Type = 'SL' with Codes '04' and / or '71', hours used by the sergeants during the reporting period, excluding those in the 920 blanket. Data is received the week following the 23rd of each month for the previous month's data (after SCO has consolidated the Sick Leave data). <i>MIRS</i>
592	Officers (Classification Code = 9662 & CBID = R06)	The total number of 'In-Lieu of Sick Leave', Leave Type = 'SL' with Codes '04' and / or '71', hours used by the officers during the reporting period, excluding those in the 920 blanket. Data is received the week following the 23rd of each month for the previous month's data (after SCO has consolidated the Sick Leave data). <i>MIRS</i>
593	Other Custody (CBID = 'R/S06' but exclude Classification codes: 9656, 9659, and 9662)	The total number of 'In-Lieu of Sick Leave', Leave Type = 'SL' with Codes '04' and / or '71', hours used by other custody classifications staff during the reporting period, excluding those in the 920 blanket. Data is received the week following the 23rd of each month for the previous month's data (after SCO has consolidated the Sick Leave data). <i>MIRS</i>
594	AVG Hours Per Non-Custody Staff	Formula: Sum of: "Total Non-Custody In-Lieu of Sick Leave" ÷ sum of "Non-Custody Filled Budgeted Positions" = Average In-Lieu of Sick Leave Hours Per Non-Custody Staff. This EXCLUDES Medical Positions. <i>Formula</i>



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In-Lieu of Sick Leave

Administration

595 Non-Custody The total number of 'In-Lieu of Sick Leave', Leave Type = 'SL' with Codes '04' and / or '71', hours used by the Non-Custody staff (all bargaining units except Union 06 & Medical staff) during the reporting period, excluding those in the 920 blanket. Data is received the week following the 23rd of each month for the previous month's data (after SCO has consolidated the Sick Leave data).

MIRS

596 Medical The total number of "In-Lieu of Sick Leave", Leave Type = 'SL' with Codes '04' and/or /71', used by Medical staff in Units 213, 214, 220 & 221 during the reporting period. Data is received the week following the 20th of the month for the previous month's data

MIRS

Annual Leave

598 Total Hours Formula:
Sum of 'Custody' + 'Non-Custody' + 'Medical' = Total Annual Leave.

Formula

599 AVG Hours Per Custody Staff Formula:
Sum of: "Total Annual Leave Hours" ÷ sum of "Custody (Uniformed) Filled Budgeted Positions" and "Other Custody (Non-Uniformed) Filled Budgeted Positions" = Average Annual Leave Hours Per Custody Staff."

Formula

600 Custody Formula:
Sum of 'Lieutenants' + 'Sergeants' + 'Officers' + 'Other Custody' = Custody Annual Leave.

Formula

601 Lieutenants (Classification Code = 9656 & CBID =S06) The total number of 'Annual Leave', Leave Type = 'AL' with codes '01 and/or '02', hours used by the Lieutenant classification during the reporting period.

MIRS

602 Sergeants (Classification Code = 9659 & CBID =S06) The total number of 'Annual Leave', Leave Type = 'AL' with codes '01 and/or '02', hours used by the Sergeant classification during the reporting period.

MIRS

603 Officers (Classification Code = 9662 & CBID = R06) The total number of 'Annual Leave', Leave Type = 'AL' with codes '01 and/or '02', hours used by the Officer classification during the reporting period.

MIRS

604 Other Custody (CBID = 'R/S06' but exclude Classification codes: 9656, 9659, and 9662) The total number of 'Annual Leave', Leave Type = 'AL' with codes '01 and/or '02', hours used by the Other Custody classifications during the reporting period.

MIRS



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Annual Leave

Administration

605 **AVG Hours Per Non-Custody Staff** Formula:
 Sum of: "Total Non-Custody Annual Leave" ÷ sum of "Non-Custody Filled Budgeted Positions" = Average Annual Leave Hours Per Non-Custody Staff. This EXCLUDES Medical Positions.
Formula

606 **Non-Custody** The total number of "Annual Leave", Leave Type = 'AL' with codes '01 and/or '02', hours used by Non-Custody tstaff (all bargaining units except Union 06 & Medical staff) during the reporting period. Data is received the week following the 20th of the month for the previous month's data.
MIRS

607 **Medical** The total number of "Annual Leave", Leave Type = 'AL' with codes '01 and/or '02', hours used by Medical staff in Units 213, 214, 220 & 221 during the reporting period. Data is received the week following the 20th of the month for the previous month's data
MIRS

Workers' Compensation

609 **Custody -- Number of Staff off Work due to accepted and pending claims.** Enter the number of custody staff (R06, S06, and M06) off work due to an approved or pending workers' compensation claim. Enter the data as a point-in-time number as of the last working day of each reporting period.
Institution Reported

610 **Off Work Rate (Per 100 Staff)** Formula:
 "Total Number Custody Staff Off Work Due to Claims" ÷ "Total of All Custody Personnel in Filled Positions" = Ratio per 100 Staff
Formula

611 **Pending/Open Claims** Enter the number of work related compensation claims that are pending/open during the reporting period. Enter the data as a point-in-time number as of the last working day of each reporting period.
 This data is to include Pending and Open Claims data that is carried over from the previous reporting periods which has not been closed, and new claims data coming in during the current reporting period which has not been closed.
Institution Reported

612 **New Claims** Enter the number of work related compensation claims that were initiated during the reporting period. Enter the data as a point-in-time number as of the last working day of each reporting period.
Institution Reported

613 **Closed Claims** Enter the number of work related compensation claims that were closed during the reporting period. Enter the data as a point-in-time number as of the last working day of each reporting period.
Institution Reported



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Workers' Compensation

Administration

614	Non-Custody -- Number of Staff off Work due to accepted and pending claims.	<p>Enter the number of non-custody staff (all bargaining units except for R06, S06, and M06), off work due to an approved or pending workers' compensation claim. Enter data as a point-in-time as of the last working day of each reporting period.</p> <p><i>Institution Reported</i></p>
615	Off Work Rate (Per 100 Staff)	<p>Formula: "Total Number Non-Custody Staff Off Work Due to Claims" ÷ "Total of All Non-Custody Personnel in Filled Positions" = Ratio per 100 Staff</p> <p>**Excludes Medical Personnel Filled Positions</p> <p><i>Formula</i></p>
616	Pending/Open Claims	<p>Enter the number of work related compensation claims that are pending/open during the reporting period. Enter the data as a point-in-time number as of the last working day of each reporting period.</p> <p>This data is to include Pending and Open Claims data that is carried over from the previous reporting periods which has not been closed, and new claims data coming in during the current reporting period which has not been closed.</p> <p><i>Institution Reported</i></p>
617	New Claims	<p>Enter the number of work related compensation claims that were initiated during the reporting period. Enter the data as a point-in-time number as of the last working day of each reporting period.</p> <p><i>Institution Reported</i></p>
618	Closed Claims	<p>Enter the number of work related compensation claims that were closed during the reporting period. Enter the data as a point-in-time number as of the last working day of each reporting period.</p> <p><i>Institution Reported</i></p>
NDI/SDI		
620	Custody -- Number of Staff off Work due to non-work related illness/injuries.	<p>Enter the number of custody staff (R06, S06, and M06) off work due to a non-work related illness or injury. This includes rejected workers' compensation claims. Enter the data as a point-in-time as of the last working day of each reporting period.</p> <p>Non-Industrial Disability Indemnity (NDI) State Disability Insurance (SDI)</p> <p><i>Institution Reported</i></p>
621	Off Work Rate (Per 100 Staff)	<p>Formula: "Total Number Custody Staff Off Work Due to Non-Work Related" ÷ "Total of All Custody Personnel in Filled Positions" = Ratio per 100 Staff</p> <p><i>Formula</i></p>



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NDI/SDI

Administration

622	Non-Custody -- Number of Staff off Work due to non-work related illness/injuries.	Enter the number of non-custody staff (all bargaining units except R06, S06, and M06), off work due to a non-work related illness or injury. This includes rejected workers' compensation claims. Enter the data as a point-in-time as of the last working day of each reporting period. Non-Industrial Disability Indemnity (NDI) State Disability Insurance (SDI) <i>Institution Reported</i>
623	Off Work Rate (Per 100 Staff)	Formula: "Total Number Non-Custody Staff Off Work Due to Non-Work Related" ÷ "Total of All Non-Custody Personnel in Filled Positions" = Ratio per 100 Staff **Excludes Medical Personnel <i>Formula</i>
FMLA		
625	Custody - Number of Staff Who Have Taken FMLA Leave	Formula: Sum of: "Custody (Lieutenants, Sergeants, Officers)" + "Other Custody" = Total Custody Staff Off Work due to FMLA Family Medical Leave Act (FMLA) <i>Formula</i>
626	Lieutenants	The total number of lieutenants (Code 9656 (S06)) that have taken FMLA leave during the reporting period. Data is received the week following the 23rd of each month for the previous month's data (after SCO has consolidated the FMLA data). <i>MIRS</i>
627	Sergeants	The total number of sergeants (Code 9659 (S06)) that have taken FMLA leave during the reporting period. Data is received the week following the 23rd of each month for the previous month's data (after SCO has consolidated the FMLA data). <i>MIRS</i>
628	Officers	The total number of officers (Code 9662 (R06)) that have taken FMLA leave during the reporting period. Data is received the week following the 23rd of each month for the previous month's data (after SCO has consolidated the FMLA data). <i>MIRS</i>
629	Other Custody	The total number of other custody (remaining codes of (R06, S06)) that have taken FMLA leave during the reporting period. Data is received the week following the 23rd of each month for the previous month's data (after SCO has consolidated the FMLA data). <i>MIRS</i>
630	Non-Custody	The total number of non-custody (all bargaining units except (R06, S06)) that have taken FMLA leave during the reporting period. Data is received the week following the 23rd of each month for the previous month's data (after SCO has consolidated the FMLA data). <i>MIRS</i>



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FMLA

Administration

631	Medical	The total number of medical staff that have taken FMLA leave during the reporting period. Data is received the week following the 23rd of each month for the previous month's data (after SCO has consolidated the FMLA data). <i>MIRS</i>
632	Custody - Number of FMLA Hours Used by Staff	Formula: Sum of: "Custody (Lieutenants, Sergeants, Officers)" + "Other Custody" = Total Number of FMLA Hours Used by Custody Staff Family Medical Leave Act (FMLA) <i>Formula</i>
633	Lieutenants (Classification Code = 9656 & CBID =S06)	The total number of FMLA hours used by lieutenants (Code 9656 (S06)) that have taken FMLA leave during the reporting period. Data is received the week following the 23rd of each month for the previous month's data (after SCO has consolidated the FMLA data). <i>MIRS</i>
634	Sergeants	The total number of FMLA hours used by sergeants (Code 9659 (S06)) that have taken FMLA leave during the reporting period. Data is received the week following the 23rd of each month for the previous month's data (after SCO has consolidated the FMLA data). <i>MIRS</i>
635	Officers	The total number of FMLA hours used by officers (Code 9662 (R06)) that have taken FMLA leave during the reporting period. Data is received the week following the 23rd of each month for the previous month's data (after SCO has consolidated the FMLA data). <i>MIRS</i>
636	Other Custody	The total number of FMLA hours used by other custody classifications ((remaining codes of (R06, S06)) that have taken FMLA leave during the reporting period. Data is received the week following the 23rd of each month for the previous month's data (after SCO has consolidated the FMLA data). <i>MIRS</i>
637	Non-Custody	The total number of FMLA hours used by non-custody classifications (all bargaining units except (R06, S06)) that have taken FMLA leave during the reporting period. Data is received the week following the 23rd of each month for the previous month's data (after SCO has consolidated the FMLA data). <i>MIRS</i>
638	Medical	The total number of FMLA hours used by medical staff classifications during the reporting period. Data is received the week following the 23rd of each month for the previous month's data (after SCO has consolidated the FMLA data). <i>MIRS</i>



Division of Adult Institutions

Counting Rules for Governance Period January - June 2017

FMLA

Administration

639 Custody - Average Number of FMLA Hours Used by Staff Formula: The average number of FMLA hours used by custody (Lieutenants, Sergeants, Officers) classifications during the reporting period. "Custody - Number of FMLA Hours Used by Staff" ÷ "Total Custody Filled Positions" = "Custody — Average Number of FMLA Hours Used by Staff"
Family Medical Leave Act (FMLA)

Formula

640 Other Custody The average number of FMLA hours used by other custody classifications (remaining codes of (R06, S06)) during the reporting period. Data is received the week following the 23rd of each month for the previous month's data (after SCO has consolidated the FMLA data).

Formula

641 Non-Custody The average number of FMLA hours used by non-custody classifications (all bargaining units except (R06, S06)) during the reporting period. Data is received the week following the 23rd of each month for the previous month's data (after SCO has consolidated the FMLA data).

Formula

642 Medical The average number of FMLA hours used by medical classifications during the reporting period. Data is received the week following the 23rd of each month for the previous month's data (after SCO has consolidated the FMLA data).

Formula

Accounting Penalties (\$ Amounts)

644 Institutions (Program 25) Enter the dollar amount of accounting penalties incurred due to Institution error in Programs 25 that occurred during the reporting period. [Budget Display Act requirement performance measure.]

Institution Reported

645 Education (Program 45) Enter the dollar amount of accounting penalties incurred due to Institution error in Programs 45 that occurred during the reporting period. [Budget Display Act requirement performance measure]

Institution Reported

646 Medical (Program 50) Enter the dollar amount of accounting penalties incurred due to Institution error in Programs 50 that occurred during the reporting period. [Budget Display Act requirement performance measure]

Institution Reported

RAO Accounting Penalties (\$ Amounts)

648 Institutions (Program 25) Enter the dollar amount of RAO incurred accounting penalties which the Institution had no control over in Program 25 that occurred during the reporting period. [Budget Display Act requirement performance measure]

Institution Reported



Division of Adult Institutions

Counting Rules for Governance Period January - June 2017

RAO Accounting Penalties (\$ Amounts)

Administration

649 Education (Program 45) Enter the dollar amount of RAO incurred accounting penalties which the Institution had no control over in Program 45 that occurred during the reporting period. [Budget Display Act requirement performance measure]

Institution Reported

650 Medical (Program 50) Enter the dollar amount of RAO incurred accounting penalties which the institution had no control over in Program 50 that occurred during the reporting period. [Budget Display Act requirement performance measure]

Institution Reported

Regulatory Citations CalOSHA

652 Number of Penalties Enter the total number of OSHA penalties/citations received during the reporting period.

Institution Reported

653 Dollar Amount Enter the total dollar amount of the OSHA penalties/citations received.

Institution Reported

Work Orders

655 Number of Emergency Corrective Work Orders (priority 1) Received/Submitted Priority 1 = Emergencies. This is the Maintenance Monthly Report in Plant Operations (POM Report). The data is obtained from the Plant Operations Maintenance Work Order Summary Report (SAPMS) dated from the first day of the previous month to the last day of the previous month. Enter the data as a point-in-time as of the last working day of each reporting period.

Institution Reported

656 Number of Emergency Corrective Work Orders (priority 1) Completed Priority 1 = Emergencies. This is the Maintenance Monthly Report in Plant Operations (POM Report). The data is obtained from the Plant Operations Maintenance Work Order Summary Report (SAPMS) dated from the first day of the previous month to the last day of the previous month. Enter the data as a point-in-time as of the last working day of each reporting period.

Institution Reported

657 Number of Preventative Maintenance Work Orders (priority 2) received/submitted Priority 2 = Preventative Maintenance. This is the Maintenance Monthly Report in Plant Operations (POM Report). The data is obtained from the Plant Operations Maintenance Work Order Summary Report (SAPMS) dated from the first day of the previous month to the last day of the previous month. Enter the data as a point-in-time as of the last working day of each reporting period.

Institution Reported

658 Number of Preventative Maintenance Work Orders (priority 2) completed Priority 2 = Preventative Maintenance. This is the Maintenance Monthly Report in Plant Operations (POM Report). The data is obtained from the Plant Operations Maintenance Work Order Summary Report (SAPMS) dated from the first day of the previous month to the last day of the previous month. Enter the data as a point-in-time as of the last working day of each reporting period.

Institution Reported



Division of Adult Institutions

Counting Rules for Governance Period January - June 2017

Work Orders

Administration

659	Number of Corrective Work Orders (Priority 3) Recieved/Submitted	Priority 3 = Routine Corrective Maintenance submitted. This is the Maintenance Monthly Report in Plant Operations (POM Report). The data is obtained from the Plant Operations Maintenance Work Order Summary Report (SAPMS) dated from the first day of the previous month to the last day of the previous month. Enter the data as a point-in-time as of the last working day of each reporting period. <i>Institution Reported</i>
660	Number of Corrective Work Orders (Priority 3) Completed	Priority 3 = Routine Corrective Maintenance completed. This is the Maintenance Monthly Report in Plant Operations (POM Report). The data is obtained from the Plant Operations Maintenance Work Order Summary Report (SAPMS) dated from the first day of the previous month to the last day of the previous month. Enter the data as a point-in-time as of the last working day of each reporting period. <i>Institution Reported</i>
661	Number of Non-Maintenance Service Requests (Priority 4) Recieved/Submitted	Priority 4 = Non-Maintenance Service Request submitted. This is the Maintenance Monthly Report in Plant Operations (POM Report). The data is obtained from the Plant Operations Maintenance Work Order Summary Report (SAPMS) dated from the first day of the previous month to the last day of the previous month. Enter the data as a point-in-time as of the last working day of each reporting period. <i>Institution Reported</i>
662	Number of Non-Maintenance Service Requests (Priority 4) Completed	Priority 4 = Non-Maintenance Service Request completed. This is the Maintenance Monthly Report in Plant Operations (POM Report). The data is obtained from the Plant Operations Maintenance Work Order Summary Report (SAPMS) dated from the first day of the previous month to the last day of the previous month. Enter the data as a point-in-time as of the last working day of each reporting period. <i>Institution Reported</i>
663	Number of Project Work Orders (priority 5) received/submitted	Priority 5 = Projects. This is the Maintenance Monthly Report in Plant Operations (POM Report). The data is obtained from the Plant Operations Maintenance Work Order Summary Report (SAPMS) dated from the first day of the previous month to the last day of the previous month. Enter the data as a point-in-time as of the last working day of each reporting period. <i>Institution Reported</i>
664	Number of Project Work Orders (priority 5) completed	Priority 5 = Projects. This is the Maintenance Monthly Report in Plant Operations (POM Report). The data is obtained from the Plant Operations Maintenance Work Order Summary Report (SAPMS) dated from the first day of the previous month to the last day of the previous month. Enter the data as a point-in-time as of the last working day of each reporting period. <i>Institution Reported</i>



Division of Adult Institutions

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Work Orders

Administration

665 **% of personnel hours spent on preventative maintenance**

X = (PM Hours/(# of Staff x 173.3) * 100. Run the POM report "Work Order Summary," capture the total number of available staff located on the total line under "# of staff." Multiply this figure by 173.3 (the average number of working hours in a month for 168/176 schedule). Treat this as 'available hours' for the month. Capture the total under 2 for "Preventative Maintenance." Divide the "Preventative Maintenance hours' by the 'available hours,' then multiply the figure again by 100 to arrive at the percentage.

The SAPMS report used in this calculation - the "POM" report - does not associate hours worked with the month the work was completed, but rather SAPMS associates the hours worked in the month that the PM work order ticket was closed out. A delay in recording the closure of a ticket or the closure of a number of large, multi-month ticket may introduce some skewing in the percentage. In an extreme case, the hours worked on tickets closed in a given month may exceed available personnel hours.

Institution Reported

Employee Grievances and Staff Complaints

667 **Total**

Formula:
Sum of: "Health & Safety" + "Conditions of Work" + "E.E.O." = Total Employee Grievances and Staff Complaints

Formula

668 **Per 100 Staff**

Formula:
"Employee Grievances and Staff Complaints Total" ÷ "Total of All Personnel in Filled Positions" = Ratio per 100 Staff

Formula

669 **Health & Safety**

This is the total number of formal health and safety grievances and staff complaints that were filed during the preceding calendar month.
The source of this data is through a Grievance Log and/or an Officer/Analyst/Representative in the institution's Labor Relations Office.

Institution Reported

670 **Conditions of Work**

This is the total number of formal conditions of work and staff complaints that were filed during the preceding calendar month.
The source of this data is through a Grievance Log and/or an Officer/Analyst/Representative in the institution's Labor Relations Office.

Institution Reported

671 **Total EEO**

This is the total number of Medical and Non-Medical related EEO complaints that were filed during the reporting month. Formula: Medical + Non-Medical EEO Complaints = Total EEO Complaints

Formula

672 **EEO Non-Medical**

This is the total number of Non-Medical related EEO complaints that were filed during the reporting month. The source of this data is the institution EEO Coordinator.

Institution Reported



Division of Adult Institutions

Counting Rules for Governance Period January - June 2017

Employee Grievances and Staff Complaints

Administration

673 EEO Medical This is the total number of Medical related EEO complaints that were filed during the reporting month. The source of this data is through a Medical EEO Log and/or the Medical institutional EEO Coordinator.
Institution Reported

Staff Investigations

675 Total Central Intake Requests Enter the number of Central Intake Requests submitted during the reporting period.
Institution Reported

676 Per 100 Staff Formula:
"Total Central Intake Requests" ÷ "Total of All Personnel in Filled Positions" = Ratio per 100 Staff
Formula

677 Central Intake Requests Accepted Enter the number of Central Intake Requests accepted during the reporting period.
Institution Reported

678 Central Intake Requests Rejected Enter the number of Central Intake Requests rejected and returned during the reporting period. This is a point in time count as of the last day of the reporting period.
Institution Reported

679 Central Intake Requests Approved for Direct Action Enter the number of Central Intake Requests approved for Direct Action during the reporting period. This is a point in time count as of the last day of the reporting period.
Institution Reported

680 Total Direct Action Requests Submitted Enter the number of Direct Action Requests submitted during the reporting period. This is a point in time count as of the last day of the reporting period.
Institution Reported

681 Direct Action Requests Accepted Enter the number of Direct Action Requests accepted during the reporting period. This is a point in time count as of the last day of the reporting period.
Institution Reported

682 Direct Action Requests Rejected Enter the number of Direct Action Requests rejected and returned during the reporting period. This is a point in time count as of the last day of the reporting period.
Institution Reported

683 Number of Open Investigations Enter the total number of open investigations during the reporting period. This is a point-in-time count as of the last day of the reporting period.
Institution Reported



Division of Adult Institutions

Counting Rules for Governance Period January - June 2017

Staff Investigations

Administration

684 **Number of Closed Investigations** Enter the total number of investigations closed during the reporting period. This is a point-in-time count as of the last day of the reporting period.
Institution Reported

685 **Investigations Exceeding 180 calendar days** Enter the total number of investigations that have exceeded 180 calendar days.
Institution Reported

686 **Investigations Exceeding the Statute of Limitations** Enter the total number of investigation that have exceeded the statute of limitations.
Institution Reported

Medical Staff Investigations

688 **Total Central Intake Requests** Enter the number of Medical Central Intake Requests submitted during the reporting period.
Institution Reported

689 **Per 100 Staff** Formula: "Total Central Intake Requests" ÷ "Total of All Personnel in Filled Positions" = Ratio per 100 Staff
Formula

690 **Central Intake Requests Accepted** Enter the number of Central Intake Requests accepted during the reporting period.
Institution Reported

691 **Central Intake Requests Rejected** Enter the number of Central Intake Requests rejected and returned during the reporting period. This is a point in time count as of the last day of the reporting period.
Institution Reported

692 **Central Intake Requests Approved for Direct Action** Enter the number of Central Intake Requests approved for Direct Action during the reporting period. This is a point in time count as of the last day of the reporting period.
Institution Reported

693 **Total Direct Action Requests Submitted** Enter the number of Direct Action Requests submitted during the reporting period. This is a point in time count as of the last day of the reporting period.
Institution Reported

694 **Direct Action Requests Accepted** Enter the number of Medical Direct Action Requests accepted during the reporting period. This is a point in time count as of the last day of the reporting period.
Institution Reported



Division of Adult Institutions

Counting Rules for Governance Period January - June 2017

Medical Staff Investigations

Administration

695 Direct Action Requests Rejected Enter the number of Medical Direct Action Requests rejected and returned during the reporting period. This is a point in time count as of the last day of the reporting period.
Institution Reported

696 Number of Open Investigations Enter the total number of open investigations during the reporting period. This is a point-in-time count as of the last day of the reporting period.
Institution Reported

697 Number of Closed Investigations Enter the total number of investigations closed during the reporting period. This is a point-in-time count as of the last day of the reporting period.
Institution Reported

698 Investigations exceeding 180 calendar days Enter the total number of investigations that have exceeded 180 calendar days.
Institution Reported

699 Investigations exceeding the Statute of Limitations Enter the total number of investigations that have exceeded the statute of limitations.
Institution Reported

Adverse Actions

701 Total Formula: "Adverse Actions Total (Non-Medical)" + "Adverse Actions Total (Medical)" = Total Adverse Actions
Formula

702 Per 100 Staff Formula: "Total Adverse Actions" ÷ "Total of All Personnel in Filled Positions" = Ratio per 100 Staff
Formula

703 Dismissals Formula: "Dismissals (Non-Medical)" + "Dismissals (Medical)" = Total Dismissals
Formula

704 Adverse Actions Total (Non-Medical) Enter the total number of non-medical adverse actions initiated during the reporting period.
Institution Reported

705 Per 100 Staff Formula: "Total Non-Medical Adverse Actions" ÷ "Total of All Personnel in Filled Positions" = Ratio per 100 Staff
Formula



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Counting Rules for Governance Period January - June 2017

Adverse Actions

Administration

706 Dismissals (Non-Medical) Enter the total number of Non-Medical Dismissals issued during the reporting period.
Institution Reported

707 Adverse Actions Total (Medical) Enter the total number of medical adverse actions initiated during the reporting period.
Institution Reported

708 Per 100 Staff Formula: "Total Medical Adverse Actions" ÷ "Total of All Personnel in Filled Positions" = Ratio per 100 Staff
Formula

709 Dismissals (Medical) Enter the total number of Medical Dismissals issued during the reporting period.
Institution Reported

Comm. Prisoner Mother Program

Female Offenders

712 Eligible Enter the total number of eligible inmates to enter the Comm. Prisoner Mother Program for the month.
Institution Reported

713 Endorsed Enter the total number of endorsed inmates to exit the Comm. Prisoner Mother Program for the month.
Institution Reported

714 Pending Transfer Enter the total number of inmates pending transfer from Comm. Prisoner Mother Program for the month.
Institution Reported

Camps

716 Eligible Enter the total number of inmates eligible to enter camps for the reporting period.
Institution Reported

717 Endorsed Enter the total number of inmates endorsed to exit the camps for the reporting period.
Institution Reported

718 Pending Transfer Enter the total number of inmates pending transfer from the camps for the month.
Institution Reported

Female Community Correctional Facility

720 Eligible Enter the total number of inmates eligible to enter the Female Community Correctional Facility for the month.
Institution Reported



Division of Adult Institutions

Counting Rules for Governance Period January - June 2017

Female Community Correctional Facility

Female Offenders

721 **Endorsed** Enter the total number of inmates endorsed to exit the Female Community Correctional Facility for the reporting period.
Institution Reported

722 **Pending Transfer** Enter the total number of inmates pending transfer from the Female Community Correctional Facility for the reporting period.
Institution Reported

CIW Camps

724 **Rainbow** No counting rule provided.
Institution Reported

725 **Malibu** No counting rule provided.
Institution Reported

726 **Puerta La Cruz** No counting rule provided.
Institution Reported

727 **Pipeline** No counting rule provided.
Institution Reported

Female Drug Treatment Beds

729 **Eligible** Number of inmates eligible for the month to occupy Female Drug Treatment Beds for the reporting period.
Institution Reported

730 **Endorsed** Number of inmates endorsed for the month to exit Female Drug Treatment Beds for the reporting period.
Institution Reported

731 **Pending Transfer** Number of inmates pending transfer for the month to exit Female Drug Treatment Beds for the reporting period..
Institution Reported

732 **Eligible but Restricted (Medical/Dental/Other)** Number of inmates eligible for the month but restricted due to Medical/Dental/Other to enter Female Drug Treatment Beds for the reporting period.
Institution Reported

733 **Endorsed and Time Restricted** Number of inmates endorsed and time restricted to exit Female Drug Treatment Beds for the reporting period..
Institution Reported



Division of Adult Institutions

Counting Rules for Governance Period January - June 2017

Inmate Age Group (Female)

Female Offenders

735 **18 to 31** Identify the number of inmates from age 18 through 31 in a female institution for the reporting period.
DDPS

736 **32 to 45** Identify the number of inmates from age 32 through 45 in a female institution for the reporting period.
DDPS

737 **46 and above** Identify the number of inmates from age 46 and above in a female institution for the reporting period.
DDPS

Gender Demographics

739 **Officers** Total number of officers in the reporting period who work in a female institution.
Institution Reported

740 **% Male** Percentage of male officers working during the reporting period in a female institution..
Institution Reported

741 **% Female** Percentage of female officers working during the reporting period in a female institution.
Institution Reported

742 **Sergeants** Total number of Sergeants working during the reporting period in a female institution.
Institution Reported

743 **% Male** Percentage of male sergeants working during the reporting period in a female institution.
Institution Reported

744 **% Female** Percentage of female sergeants working during the reporting period in a female institution.
Institution Reported

745 **Lieutenants** Total number of Lieutenants working during the reporting period in a female institution.
Institution Reported

746 **% Male** Percentage of male Lieutenants working during the reporting period in a female institution.
Institution Reported



Division of Adult Institutions

Counting Rules for Governance Period January - June 2017

Gender Demographics

Female Offenders

747 % Female Percentage of female Lieutenants working during the reporting period in a female institution.
Institution Reported

748 Managers Total number of Managers working during the reporting period in a female institution.
Institution Reported

749 % Male Percentage of male Managers working during the reporting period in a female institution.
Institution Reported

750 % Female Percentage of female Managers working during the reporting period in a female institution.
Institution Reported

RC Case Processing

752 Avg. No. of Days to Process No counting rule provided.
Institution Reported

Special Populations

754 Civil Addicts No counting rule provided.
Institution Reported

Condemned Inmates

756 Budgeted Capacity This is the actual budgeted capacity of condemned inmates. Count is received on the last day of the calendar month.
SOMS

757 Actual Population This is the actual population of condemned inmates. Count is received on the last day of the calendar month.
SOMS

Women's Trauma Related Groups

759 Total number of trauma related Meetings held during reporting period. Enter the total number of trauma related meeting for the reporting period.
Institution Reported

760 Average number of Inmate attendees per trauma Meeting. Enter the average number of inmates attending a trauma meeting for the month.
Institution Reported

Fighting

762 Total number of incidents Enter the total number of incidents specific to fighting for the current month.
DIRS



Division of Adult Institutions

Counting Rules for Governance Period January - June 2017

Pharmacy

Female Offenders

764 **Total number of prescriptions filled per month** Enter the total number of prescriptions filled for the current month
Institution Reported

Division of Adult Institutions Glossary



Effective January 1, 2017 - COMPSTAT will be adding a glossary to all counting rules documents as part of the comprehensive governance process. Definitions will be added as new counting rules are developed and/or revised.

ADA Appeals – An appeal of issues related with medical appliances and other types of Americans with Disability Act related issues.

Adjudicated – A formal judgment or decision of the guilt or innocence of an inmate based on the preponderance of evidence evaluated by the hearing official.

Administrative RVR – Prepared for conduct of a minor nature as outlined in California Code of Regulations, Title 15 Section 3314.

Advisement – Appeals submitted by certain inmates must receive careful consideration before acceptance and processing of the appeal can begin.

Assault – Any unlawful attempt, coupled with a present ability, to commit a violent injury on the person of another.

Attempted Murder – Any attempt to kill a human being with malice aforethought.

Battery – Unlawful and willful act of force or violence upon the person of another.

Cancelled – An appeal that the appeals coordinator or third level Appeals Chief has returned to the inmate without a response to the specific appeal issues and is considered closed with the inmate having not exhausted administrative remedies.

Case Records Appeal – An appeal of issues associated with an inmate's record such as release date calculation and gang affiliation.

Classification Appeal – An appeal of a classification committee action which can include affixing a suffix, assessment of an administrative determinate, transfer to another facility, prison, or program.

Conduct – The manner in which a person behaves.

Constructive Possession – Exists where a person has knowledge of an object and control of the object or the right to control the object, even if the person has no physical contact with it.

Controlled Substance – Any substance, drug, narcotic, opiate, hallucinogen, depressant or stimulant as defined by Health and Safety Code Section 11007. Also included are prescribed medications containing any of the substances identified in Health and Safety Code Section 11007.

Counseling RVR – Report that is prepared when similar minor misconduct recurs after verbal counseling or if documentation of minor misconduct is needed, a description of the misconduct and counseling provided is included in the report.

Division of Adult Institutions Glossary



Custody Appeal – An appeal of issues related to degree of custody, work groups, policies and procedures, and other types of custody issues.

Deadly Weapon – Any weapon identified in Penal Code Section 4502. Any item or substance not readily identified as a weapon becomes a deadly weapon when used in a manner that could reasonably result in serious bodily injury or death.

Deceased – The inmate has died before the time limits for responding to the appeal have expired.

Defaced – The contamination of an appeal using food, white out, tape, hair, blood, bodily fluids, excrement or attaching clothing, razor blades, string, books, or magazines.

Delaying – To cause a peace officer to be late or causing a distraction in order to delay a peace officer from his or her duties.

Denied – The inmate has no right to compensation or remedy for the issue included in the appeal.

Disciplinary Appeal – An appeal of an administrative or serious Rules Violation Report (RVR) and other disciplinary issues.

Disposition – Any Penalty assessed when found guilty of all charges identified in the Rules Violation Report.

Disturbance – A breakdown of peaceful and law-abiding behavior.

Drug Paraphernalia – Any device, contrivance, instrument, or paraphernalia intended to be used for unlawfully injecting or consuming into the human body a controlled substance as identified in Health and Safety Code Section 11007.

Duplicate Appeal – An appeal that is the same as a previous appeal filed by the inmate upon which a decision has been rendered or is pending.

Explosive Device – A device that can burst with sudden violence from internal energy.

Fighting – Displaying or engaging in violence, combat, or aggression.

Fund Appeal – An appeal of issues associated with restitution, gate money, deposits, canteen draws, and other types of inmate funds.

Granted – The inmate will receive all requested compensation or remedy for the issue included in the appeal.

Granted in Part – The inmate will receive part of the requested compensation or remedy for the issue included in the appeal.

Illegible - The appeal is not printed legibly in ink or was typed in a font smaller than 12-point or otherwise making the appeal unreadable to the appeal coordinator.

Division of Adult Institutions Glossary



Inactive Monitored – A validated gang affiliate who was released from the Security Housing Unit concurrent with the implementation of the Step Down Program through a Departmental Review Board hearing.

Indecent Exposure – Every person who willfully and lewdly, either exposes his or her person, or the private parts thereof, in any public place, or in any place where there are present other persons to be offended or annoyed thereby; or procures, counsels, or assists any person so to expose him or her self or take part in any model artist exhibition, or to make any other exhibition of him or her self to public view, or the view of any number of persons, such as is offensive to decency, or is adapted to excite to vicious or level thoughts or acts.

Intoxicant – Toluene or any bi-product i.e. paint thinners, paint, fingernail polish, lacquers, gasoline, kerosene, adhesives or other substance that markedly diminishes physical and/or mental control.

Legal Appeal – An appeal of access to court, mishandling of legal work, processing of appeals, notary services and other types of legal issues.

Living Condition Appeal – An appeal of safety, sanitation, clothing, food services, telephone calls, housing assignment and other types of living condition issues.

Mail Appeal – An appeal of a disallowed/disapproved magazine, publication, package, and other item that is shipped through the mail.

Modification Order – An order by the institution, parole region, or third level Appeals Chief directing a previous decision to be modified.

Murder – The unlawful killing of a human being or a fetus with malice aforethought.

Non-Prisoner – Any person that is not an inmate or a peace officer.

Obstructing – Impede or prevent a peace officer from performing his or her duties.

Out of Jurisdiction – An issue that cannot legally be decided by the Department.

Personal Property Appeal – An appeal of lost, stolen, or damaged property that occurred during a cell search, placement in segregated housing, or during transport to another institution or other location.

Possession – Defined as either actual possession or constructive possession of an object. Actual possession exists when a person has physical custody or control of an object. Constructive possession exists where a person has knowledge of an object and control of the object or the right to control the object, even if the person has no physical contact with it.

Program Appeal – An appeal of drug testing, substance abuse programs, in cell study programs, religious services, yard scheduling and other types of programs.

Reentry Appeal – An appeal of placement in specific reentry locations or programs.

Division of Adult Institutions Glossary



Resisting – A willful and unlawful attempt, by means of any threat or violence, to deter or prevent an executive officer from performing his or her lawful duty.

Riot – A tumultuous disturbance by three or more persons assembled together and acting with a common intent.

Rout – A disturbance of the peace by persons assembled together with intent to do something, which if executed would become a riot.

Rules Violation Report (RVR) – Report that documents inmate behavior that is a violation of law, California Code of Regulations Title 15, and/or approved procedure.

Security Threat Group (STG) – Any ongoing formal or informal organization, association, or group of three or more persons which has a common name or identifying sign or symbol whose members and/or associates, individually or collectively, engage or have engaged, on behalf of that organization, association or group, in two or more acts which include, planning, organizing, threatening, financing, soliciting or committing unlawful acts, or acts of misconduct.

Serious Bodily Injury – a serious impairment of physical condition, including, but not limited to the following: loss of consciousness; concussion; bone fracture; protracted loss or impairment of function of any bodily member or organ; a wound requiring extensive suturing; and serious disfigurement.

Serious RVR – Prepared when. Inmate misconduct is a felony, misdemeanor, or deemed serious by regulation.

Sexual Disorderly Conduct – Every person who touches, without exposing, his or her genitals, buttocks or breasts in a manner that demonstrates it is for the purpose of sexual arousal, gratification, annoyance, or offense, and that any reasonable person would consider this conduct offensive.

Screen Out – An appeal that is sent back to the inmate unprocessed due to cancellation, rejection, or withdrawal in accordance with Title 15 Section 3084.6.

Sedative – A drug taken for its calming or sleep-inducing effect.

Segregation Hearings Appeal – An appeal of placement in Administrative Segregation, Security Housing Unit placement, and other segregated housing issues.

Staff Complaint Appeal – Issue related to staff behavior that violates or is contrary to law, regulation, policy, procedure, or an ethical or professional standard.

STG-I – Term used to identify and prioritize the level of threat the group presents that affects the safety and security of the institution and public safety. STG-I designation will be reserved for STGs that pose the greatest of these threats. STG-I designation will include, but may not be limited to, traditional prison gangs, or similar disruptive groups or gangs that the department has certified to have a history and propensity for violence and/or influence over subservient STGs.

Division of Adult Institutions Glossary



STG-II – Term used to identify and prioritize the level of threat the group presents that affects the safety and security of the institution and public safety. The STG-II designation may include, but is not limited to, traditional disruptive groups/street gangs.

Stimulant – A substance that raises levels of physiological or nervous activity in the body.

Strike – A refusal to institution/departmental policy organized by a group of people or inmates as a form of protest, typically in an attempt to gain a concession or concessions from the institution/department.

Transfer Appeal – An appeal of a decision to transfer the inmate to another institution or facility.

Unlawful Assembly – A group of people with the mutual intent of deliberate disturbance of the peace.

Urinalysis – Analysis of urine by physical, chemical, and microscopic means to test for the presence of drugs.

Validated – The formal and objective process for identifying and documenting Security Threat Group (STG) affiliates.

Visiting Appeal – An appeal of family visiting, facility accommodations, minor visiting restrictions, visitor approval, visitor search and other visiting issues.

Wireless Device – Any system or device, as a cellular phone, for transmitting messages or signals by electromagnetic waves.

Withdrawn – The inmate who filed the appeal has requested to stop the appeal process.

Work Incentive Appeal – An appeal of education, vocation, waiting lists, removal from a job, pay grade, credit earning and other types of work incentive issues.